

Evaluating the environmental impacts of circularity scenarios in power electronics using Life Cycle Assessment

Contributions from: Pierre-Yves Pichon, Thomas Guillemet, Nicolas Degrenne

Briac Baudais

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MITSUBISHI ELECTRIC R&D CENTRE EUROPE

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Focus on **methods (toolbox)**

- 1/ How to consider **repair** and **diagnostic** in **LCA**?
- 2/ How to introduce **circularity loops** in **LCA**? (Example of recycling)
- 3/ **Reality** of recycling => towards a stronger **thermodynamic** basis of LCA?



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European standards
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European standards
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Irreversible losses
Metallurgical processes
Material quality



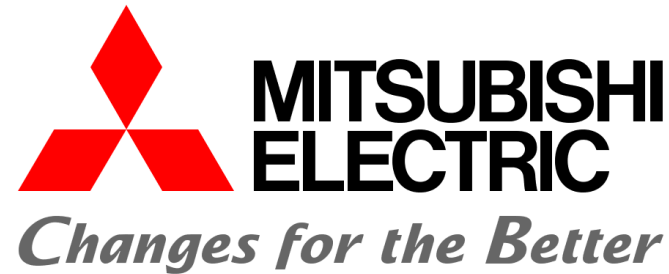
1

How to consider repair and diagnostic in LCA?

- **PhD thesis Briac Baudais (2024):**

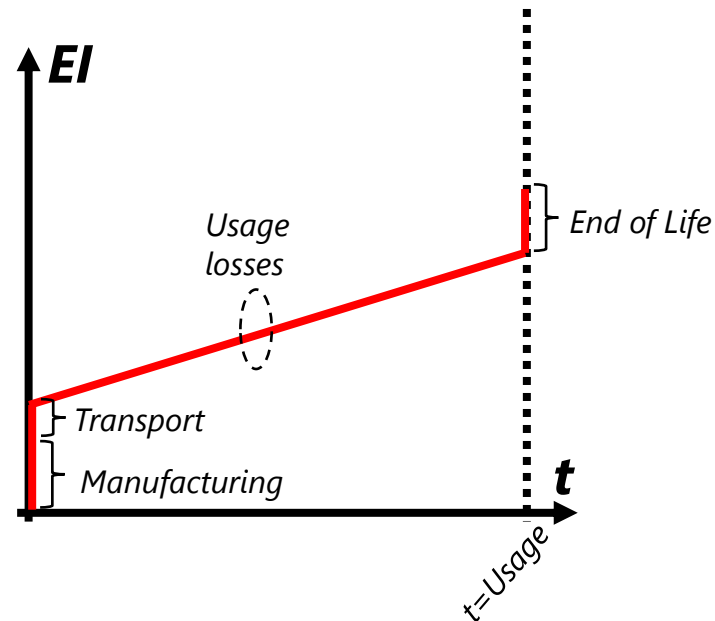
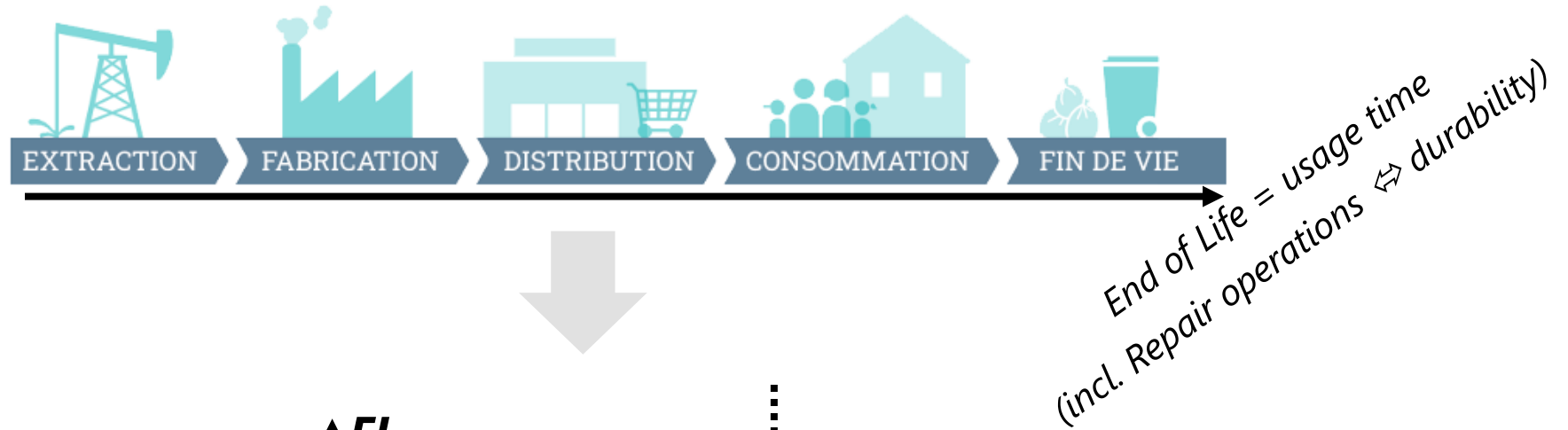
'Ecoconception en électronique de puissance. Impact du dimensionnement, de la modularité et de la diagnosticabilité

Direction: Stephane Lefebvre, Hamid Ben Ahmed, Gurvan Jodin, Nicolas Degrenne



1 Step 1 : Linear economy perspective

Baudais, B et al. Energies 2023



Method

1. Manufacturing
2. Transport
3. Usage
4. End of Life

Method

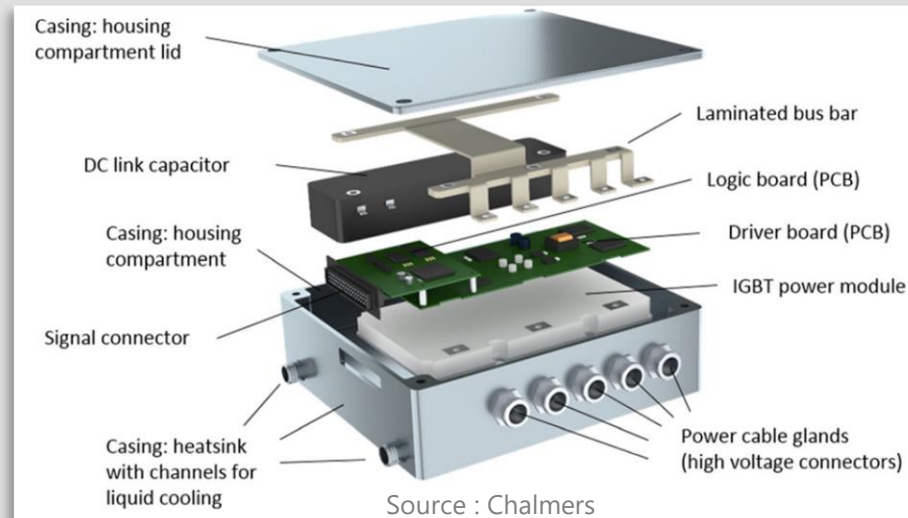
1. Manufacturing ☐
2. Transport ☐
3. Usage ☐
4. End of Life ☐

Hypothesis

Scope

- Component: eV inverter
- Nominal Power 150kW
- Bus Voltage 450V
- Liquid cooling
- Usage => 15 ans
- Impacts: PEF – EF 3.1 (16 impacts)

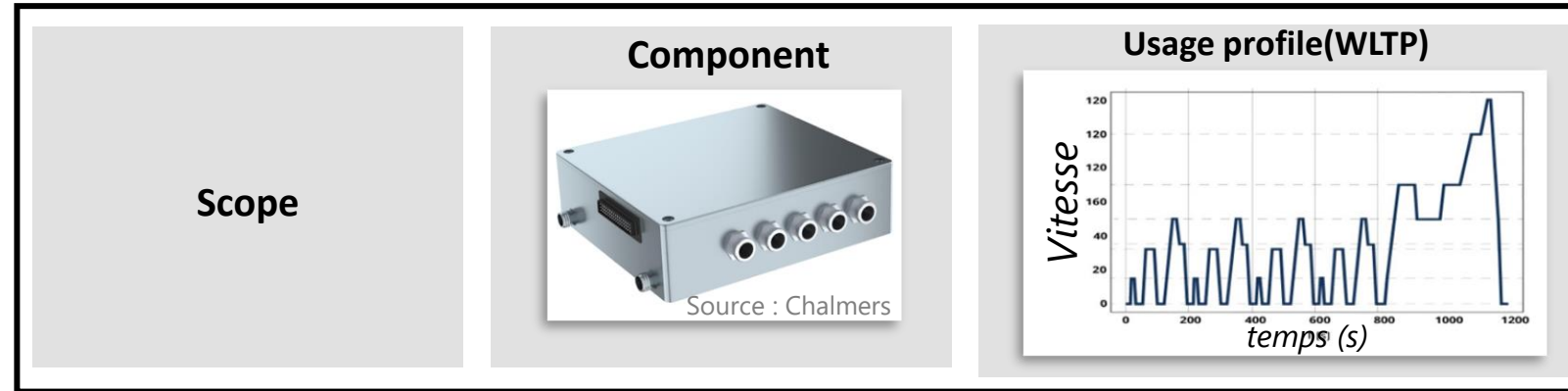
Component



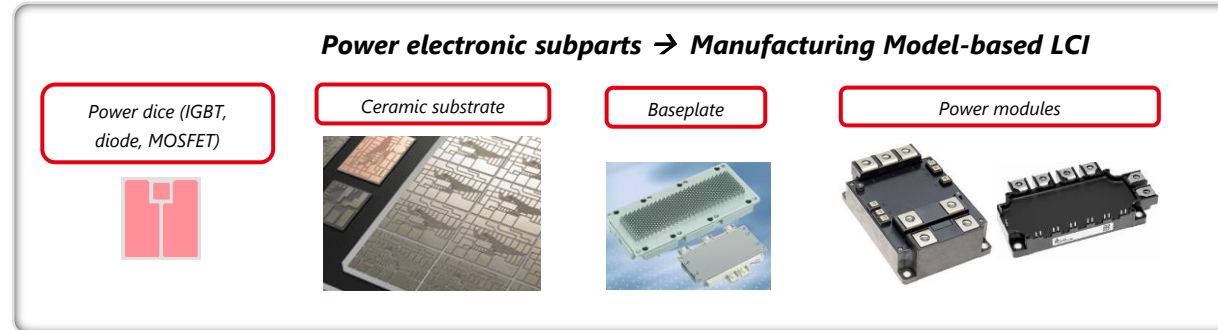
1 Step 1 : Linear economy perspective

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Manufacturing



Inventory (LCI)

Manufacturing

- Manufacturing models
- Reverse eng.
- Chalmers. Uni

Evaluation

- Brightway
- Ecoinvent
- LCIA

Env. Impacts

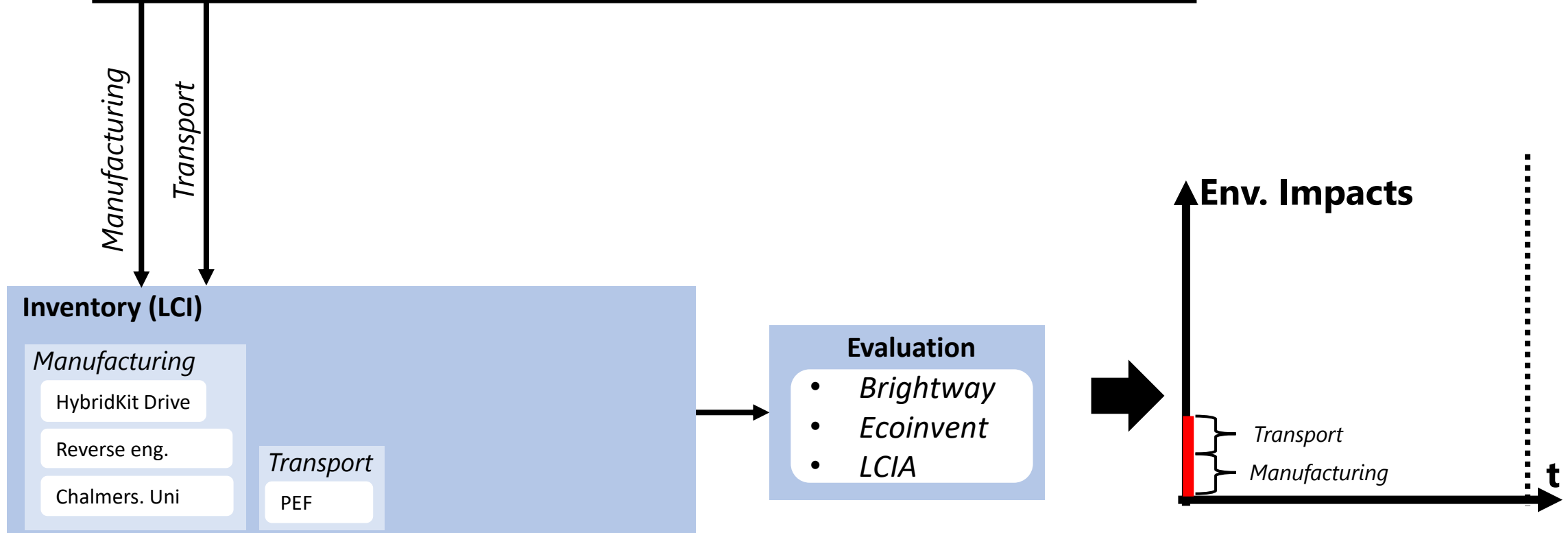
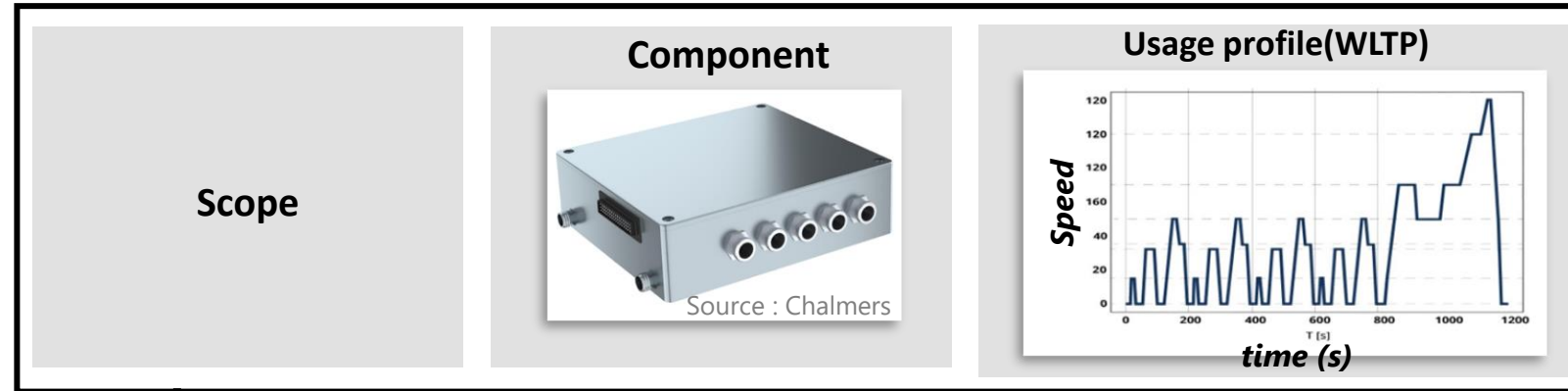
Manufacturing

t

1 Step 1 : Linear economy perspective

Method

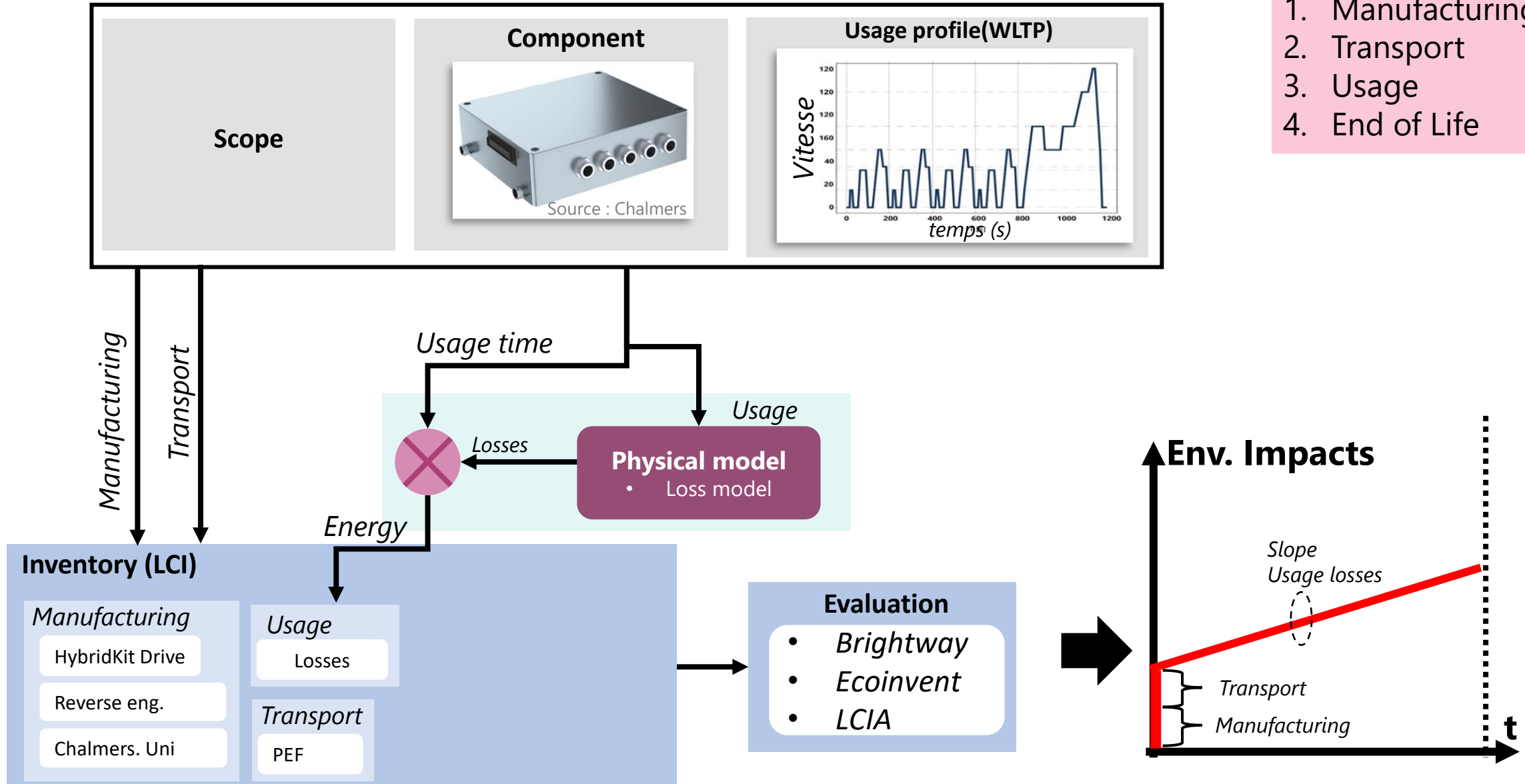
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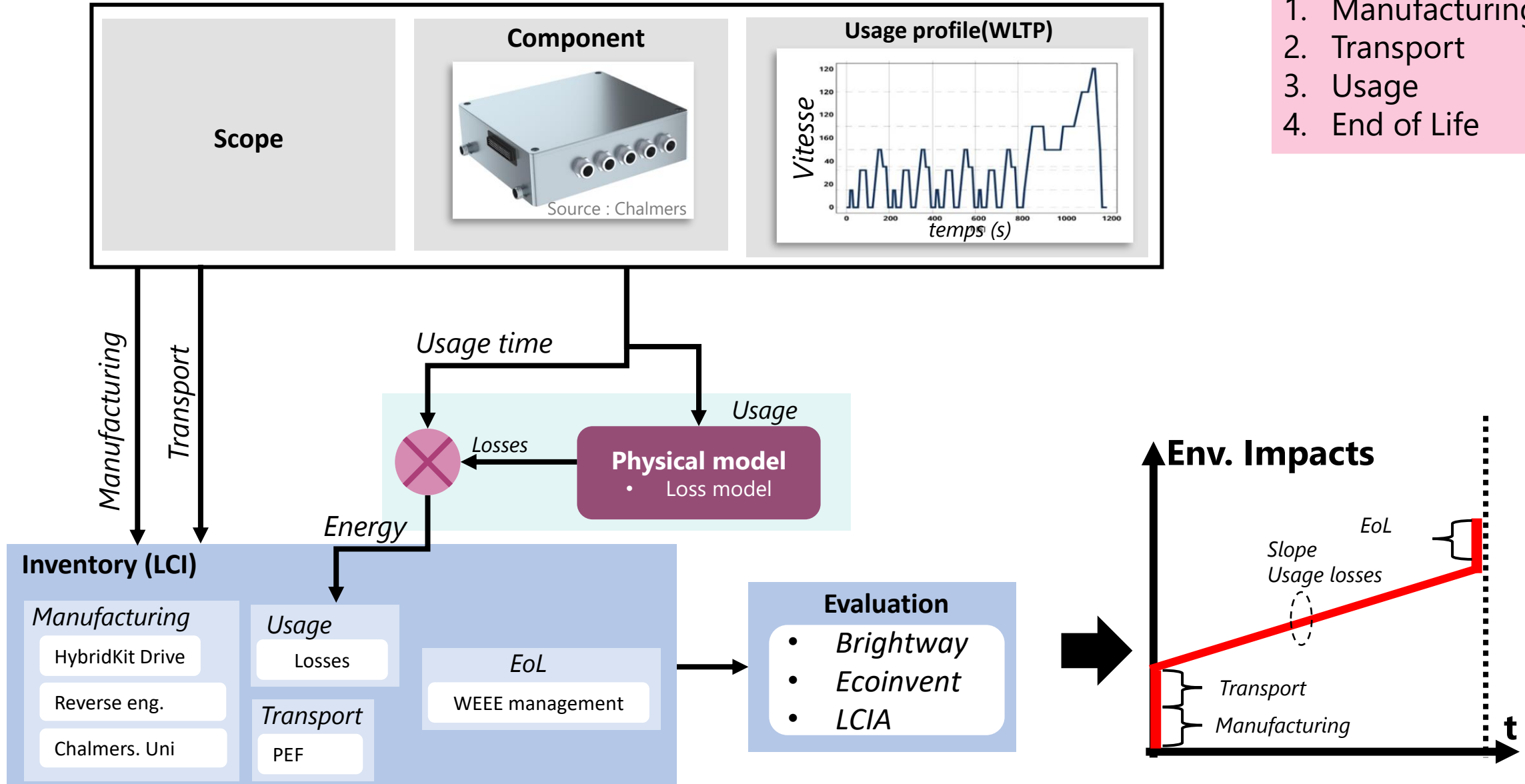
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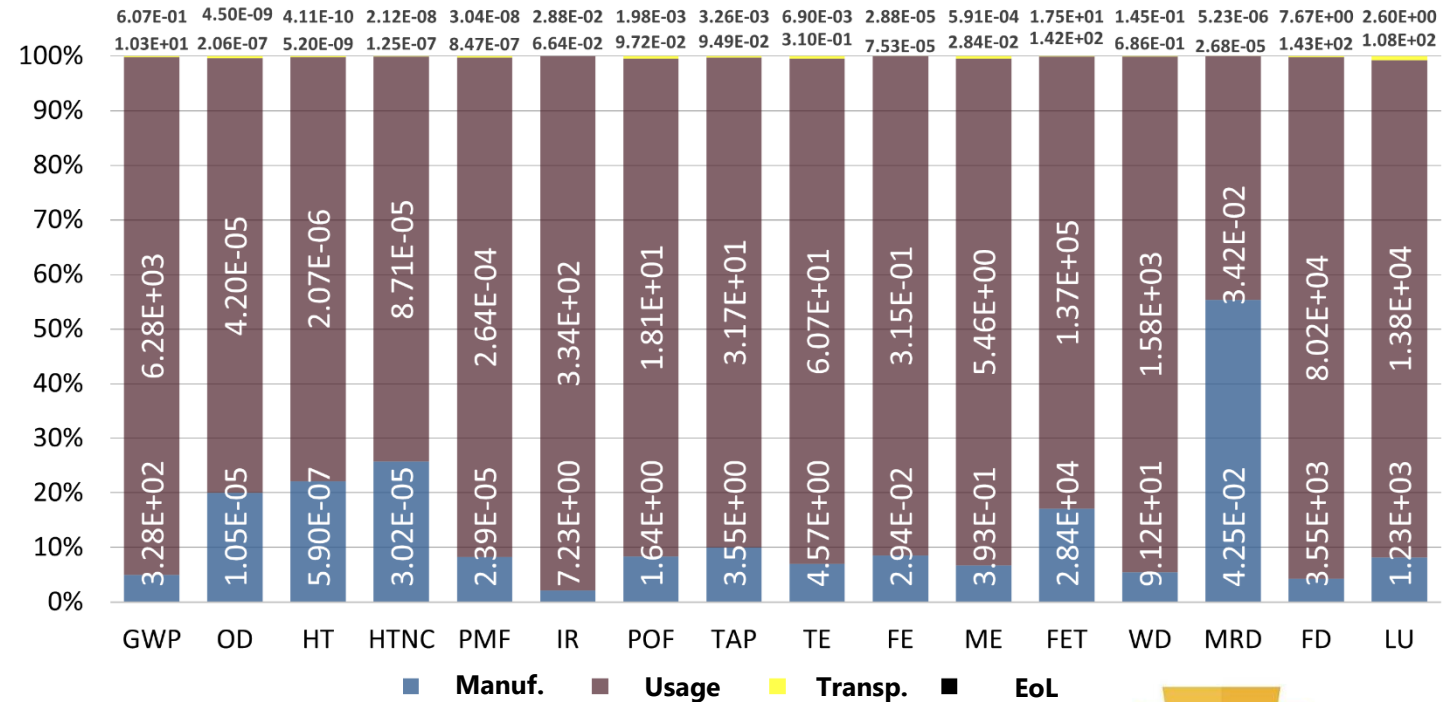
Baudais, B et al. *Energies* 2023

Hotspots:

- Manufacturing
- Usage (global mix, efficiency=92%)

Legend:

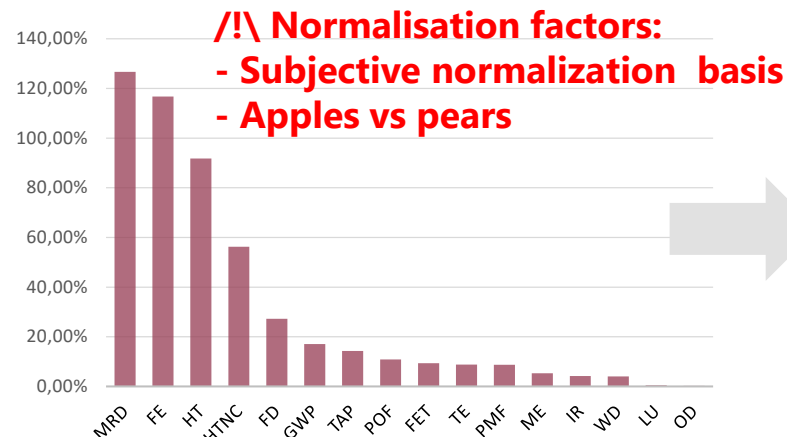
GWP Globalwarmingpotential	FET Ecotoxicityfreshwater
OD Ozonedepletion	WD Water use
FD Resource usefossilfuels	FE Eutrophication, freshwater
HT Humantoxicity cancer	ME Eutrophication, marine
HTNC Human toxicity, noncancer	TAP Acidification
PM Particulate matter	TE Eutrophication, terrestrial
IR Ionizing radiation	MRD Mineral Resource Depletion



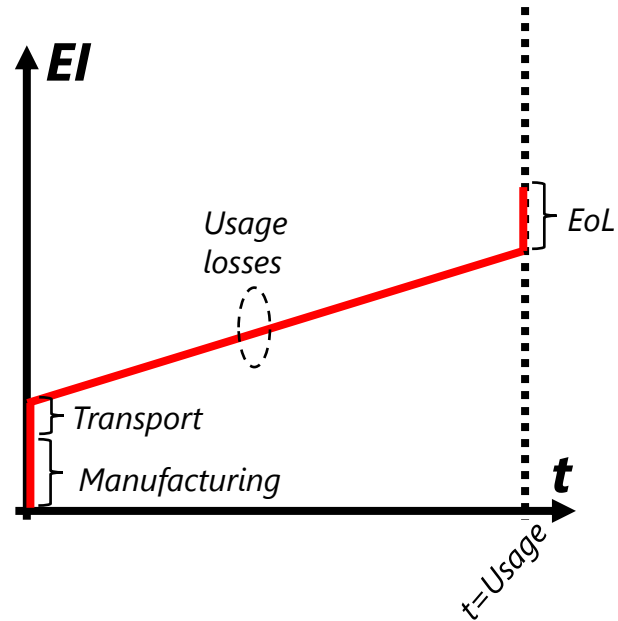
Normalisation **with global impacts/person/year** (PEF, EU commission)

Impact category rating

$$IE_{normalisation}^{(e)} = \frac{IE^{(e)}}{IE_{ref}^{(e)}}$$

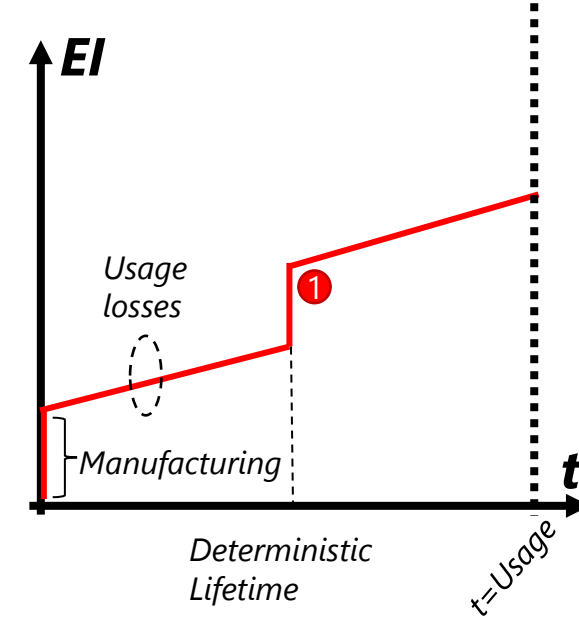
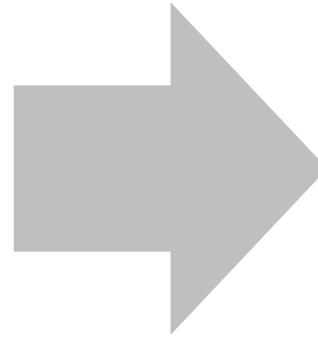


Baudais, B et al. SGE 2023



Method

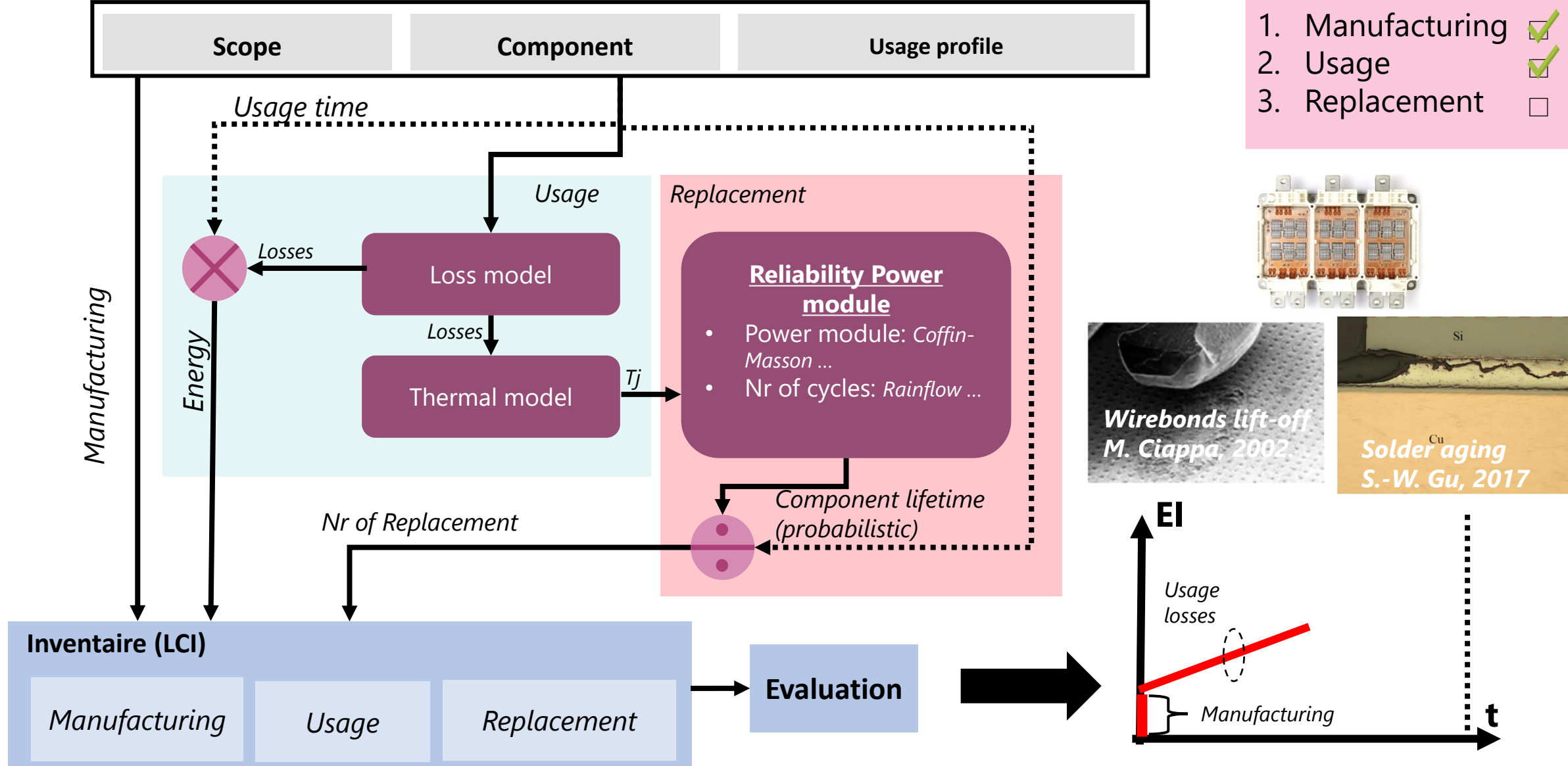
1. Manufacturing
2. ~~Transport~~
3. Usage
4. ~~End of Life~~

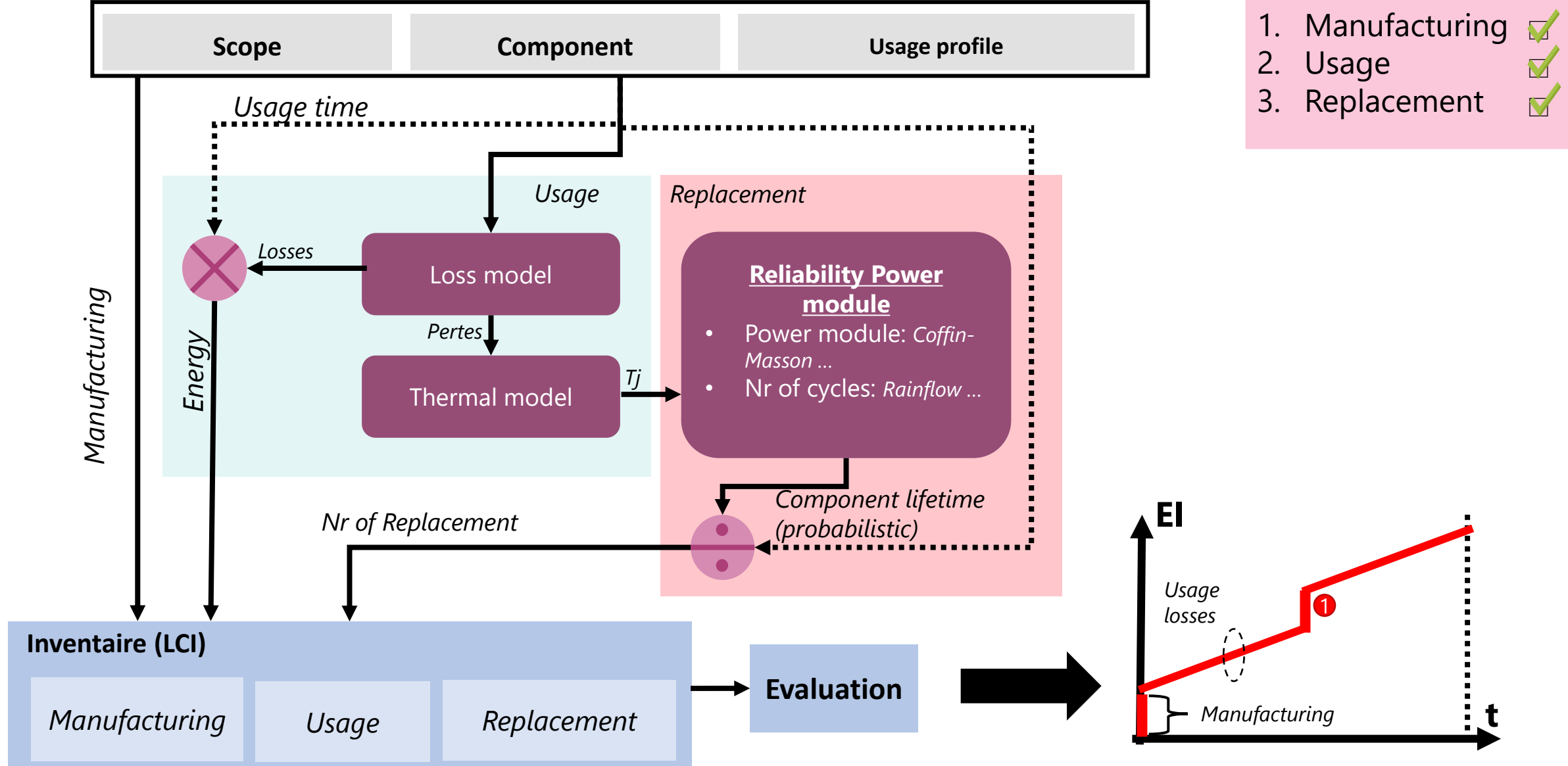


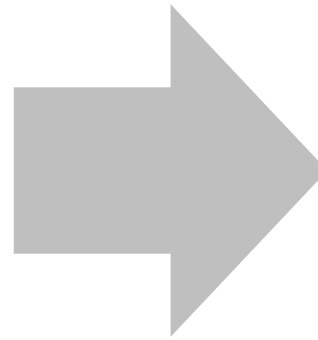
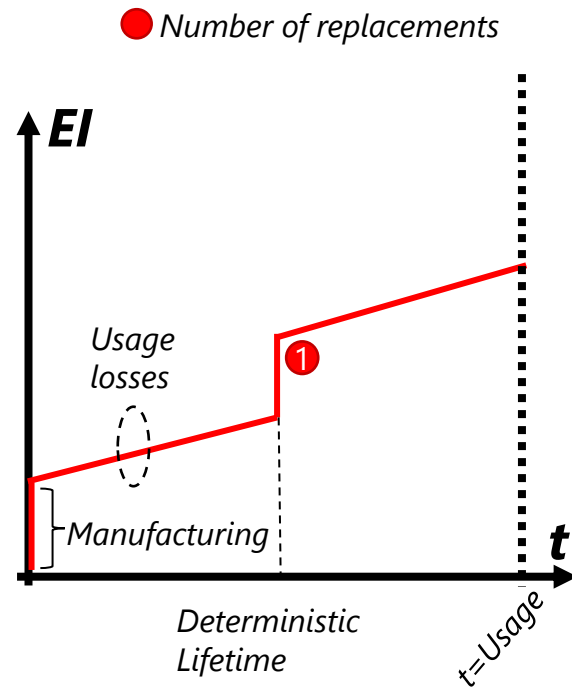
Method

1. Manufacturing
2. Usage
3. Replacement

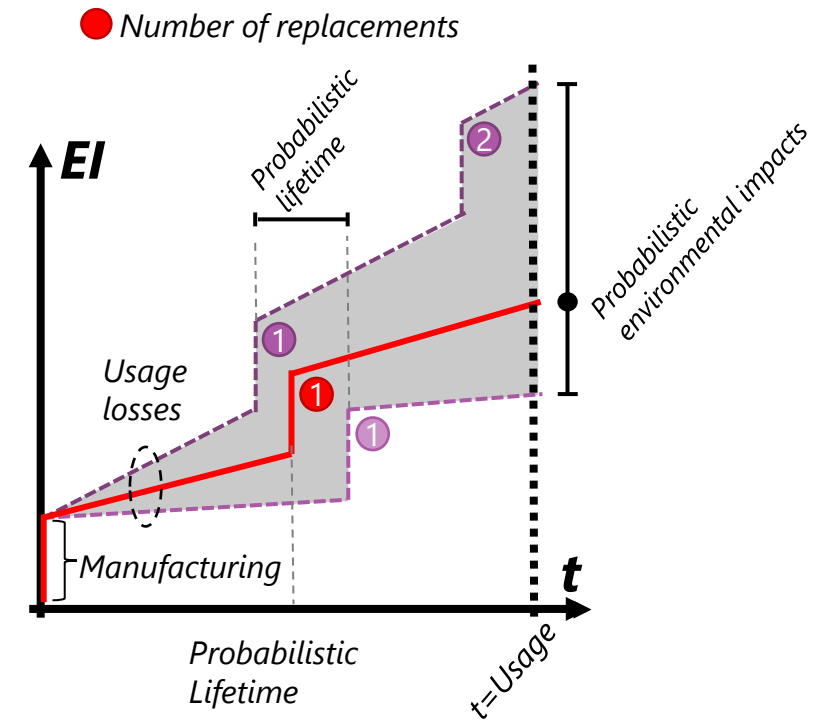
Données d'entrée



Données d'entrée

**Method**

1. Manufacturing
2. Usage
3. Replacement



Excel Input



Inventory

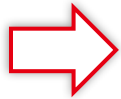
Manuf. + Use

Fault parameters
(Weibull)

Curative Maint.
(diagnosis)

Preventive Maint.
(schedule)

Economic impact
(cost / price)



PELCA software architecture

Graphical user interface



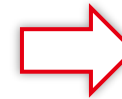
Python-based



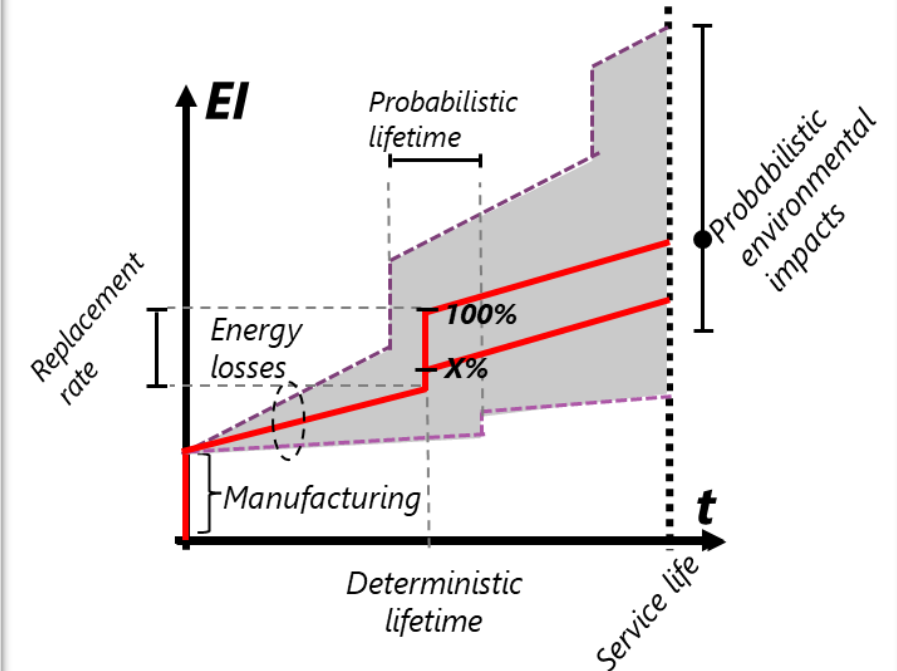
Database

ecoinvent

LCA library



Output example (staircase curve)



• Software installation:

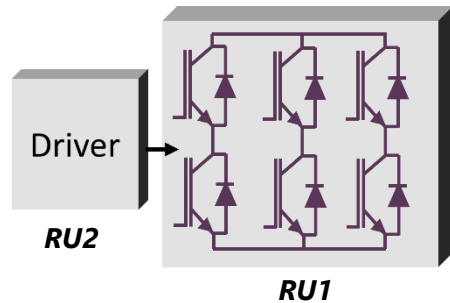
- GitHub repository → <https://github.com/merce-fra/PELCA>
- < 1h to install and run example



New Release now available !
PELCA v1.3 (v1.4 coming soon)

Modularity and diagnostic Case study

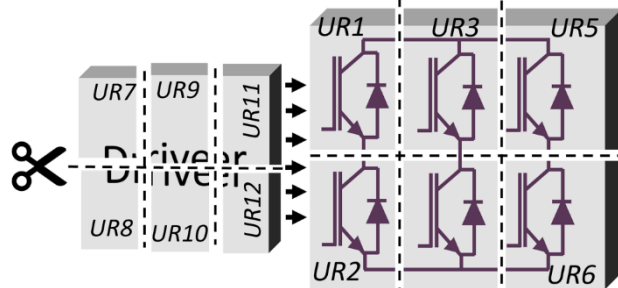


Integrated

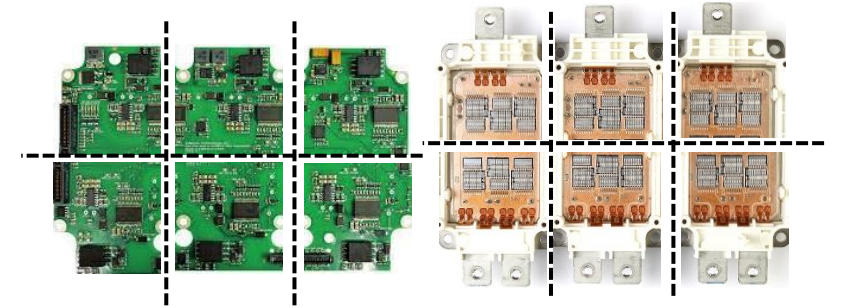
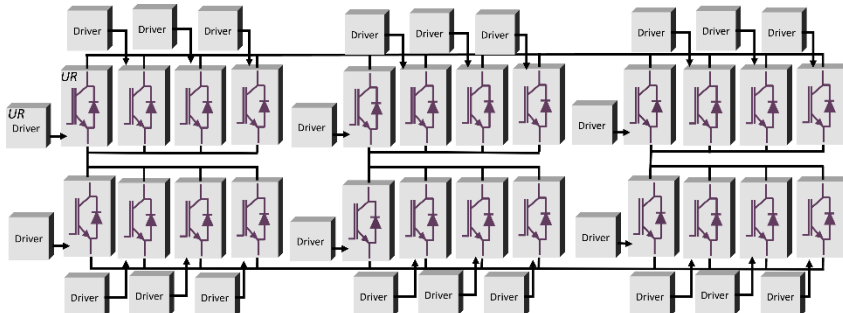
- IGBT power module+ diode
($V=750V$; $I=820A$)
→ **Reference case, low modularity**



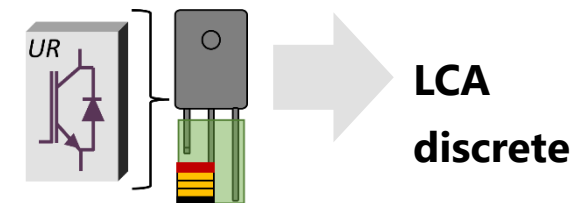
Modularity ⇔ replacement units (RU)

Modular (1)

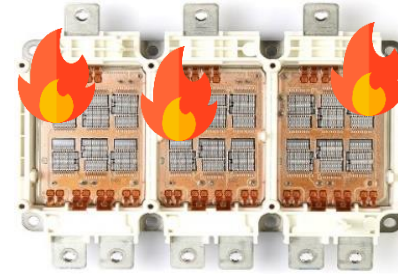
- ⇔ 'virtual' cut integrated case
→ **Modularity & diagnosticability**
→ **For result interpretation, not realistic**

**Modular (2)**

- Discrete devices (TO247) ($V=750V$; $I=205A$)
→ **Realistic case, high modularity**



3 imposed failures
⇒ Comparability

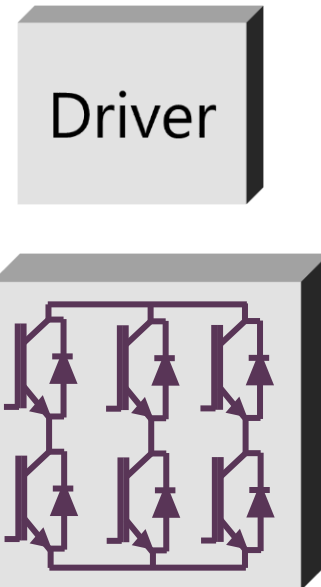


No diagnostic

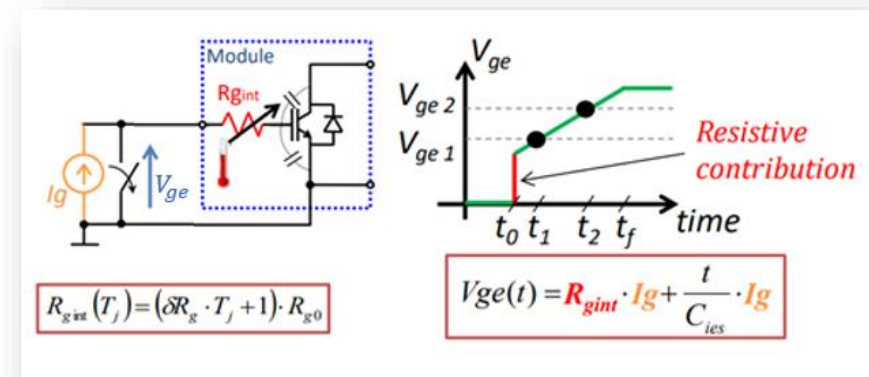
A

With diagnostic

B



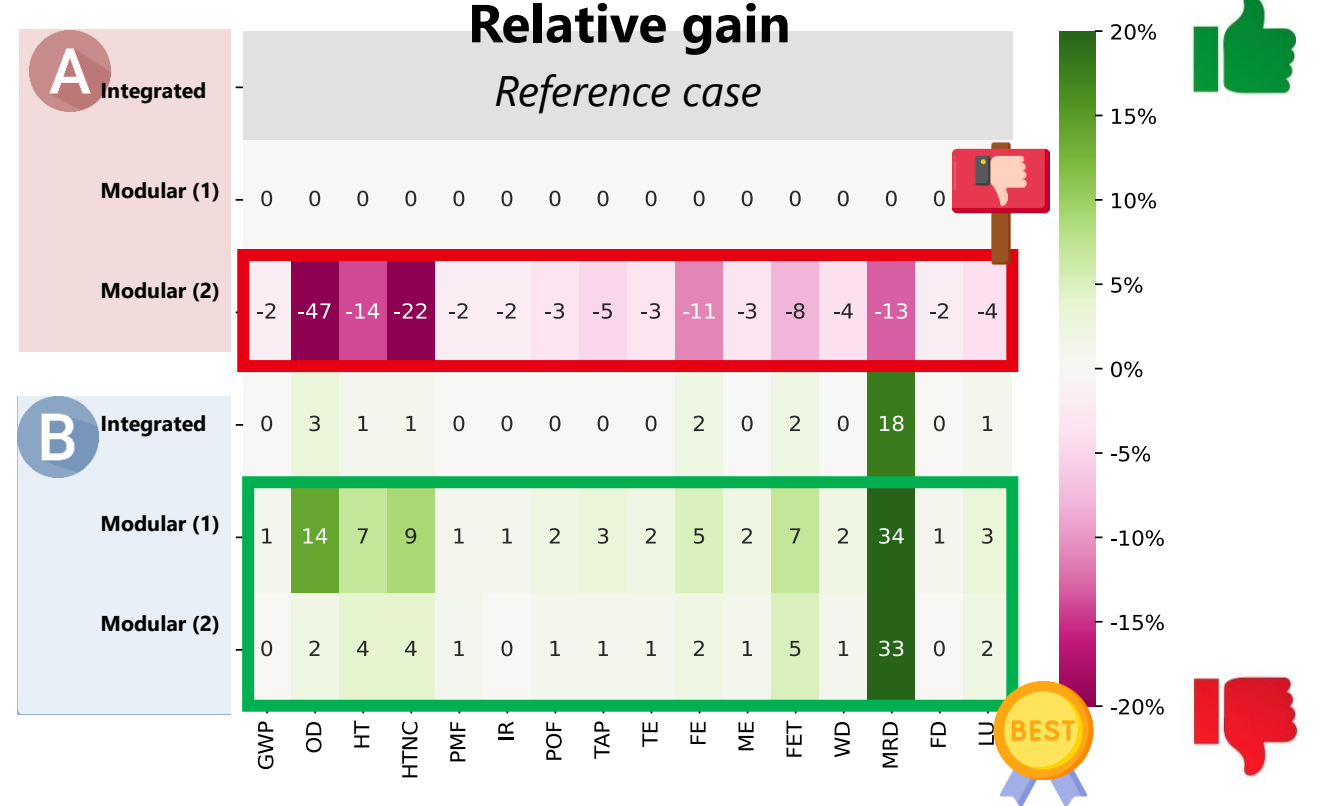
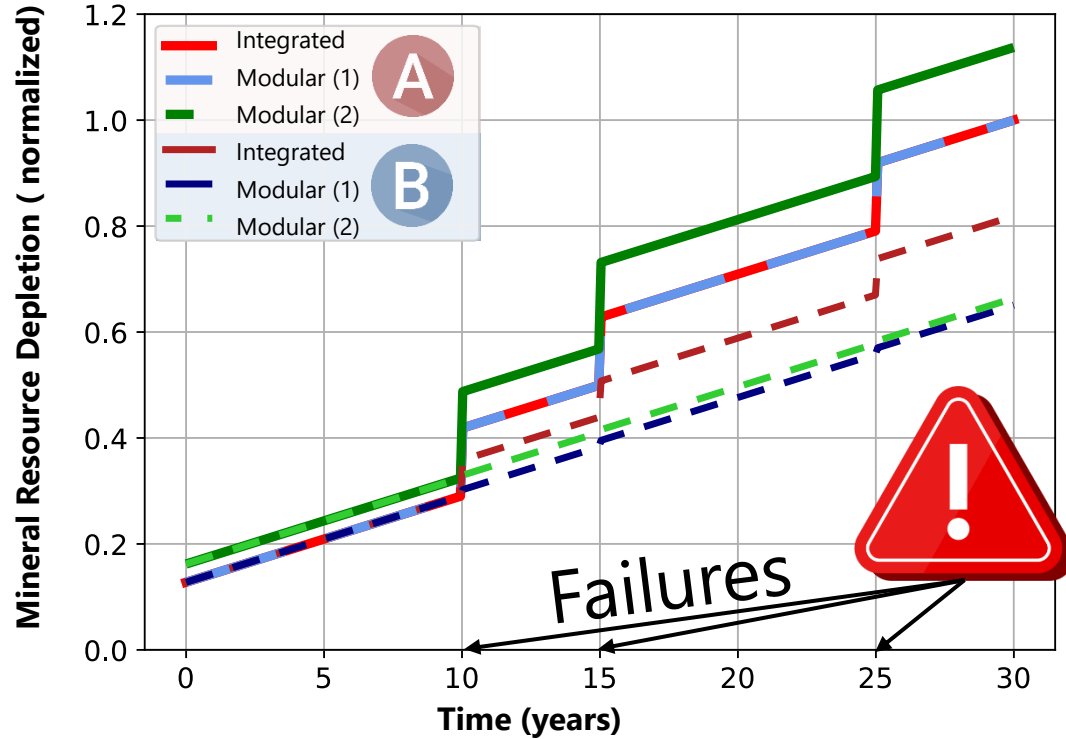
Tjbalancing : TSEP Rgin



Information on chip
temperature



Baudais, B et al. PCIM 2024



Conclusions



Worst solution :
Modular without diagnostic



Best solution :
Modular with diagnostic



Modularity is relevant only if it is combined with diagnostic
(otherwise it can $\nearrow$ EI)

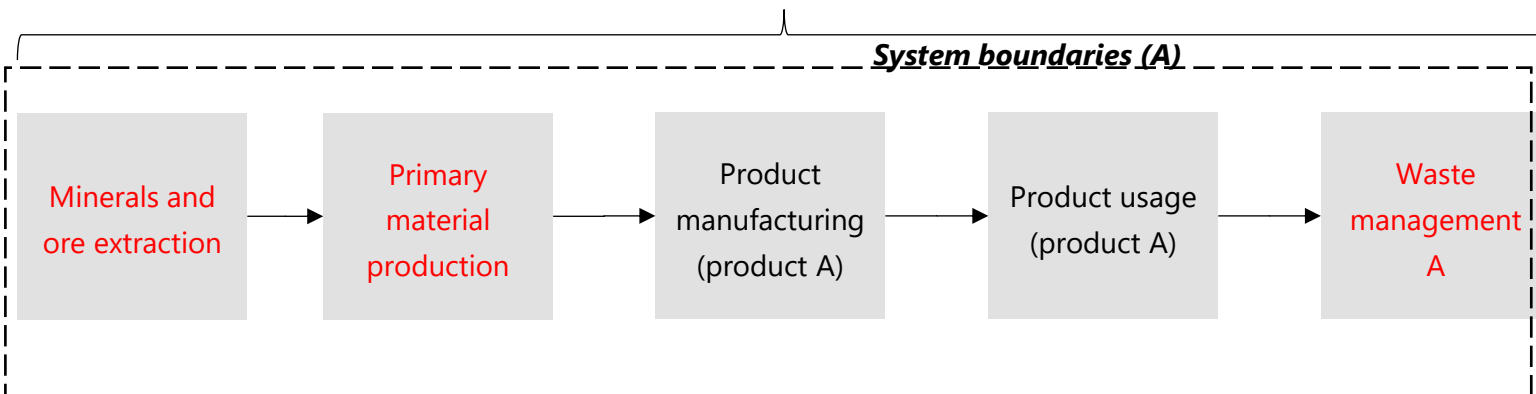
2

How to introduce circularity in LCA?

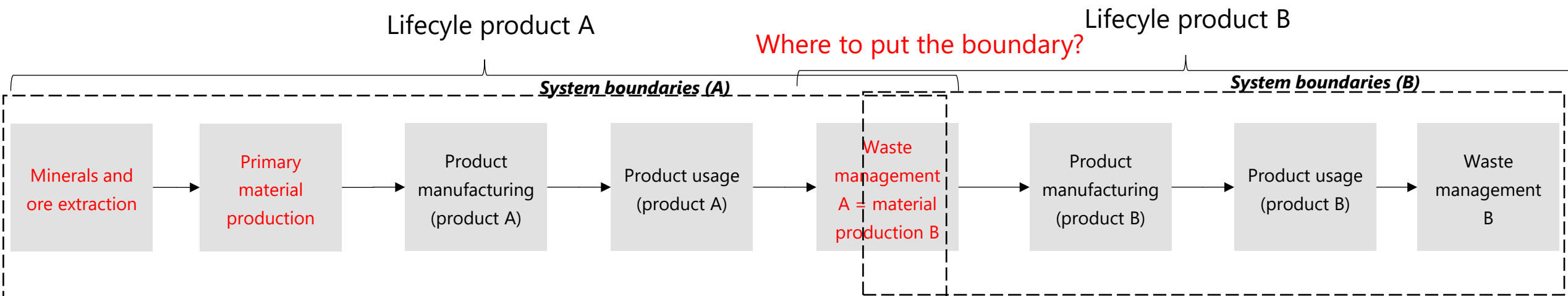
- **Impact on designer choices ?**
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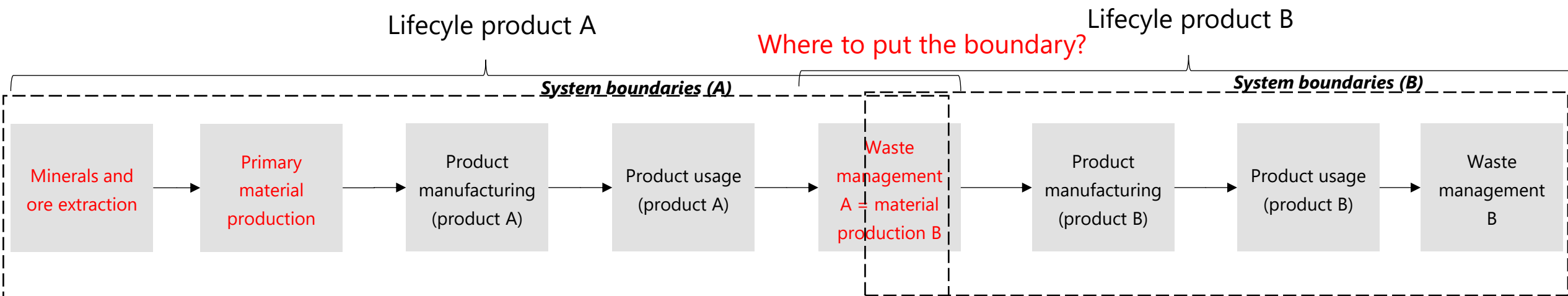
Lifecycle product A



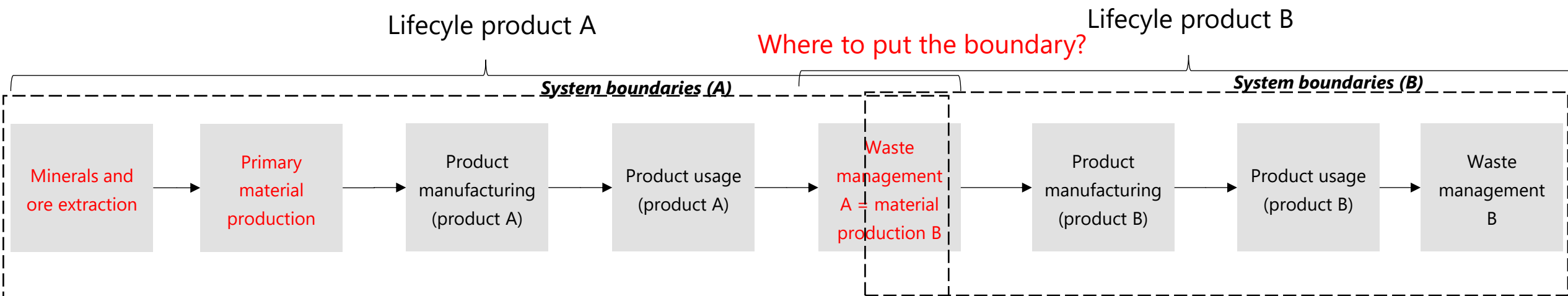
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 - Waste treatment / recycling

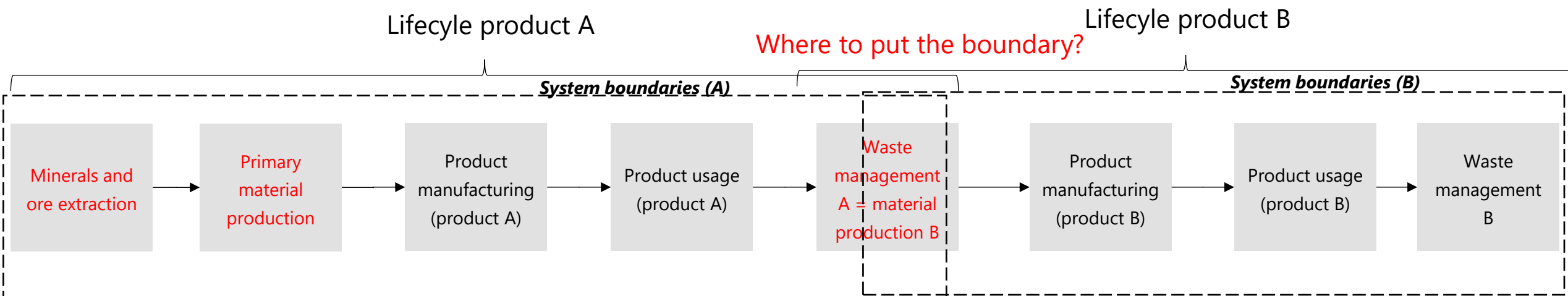
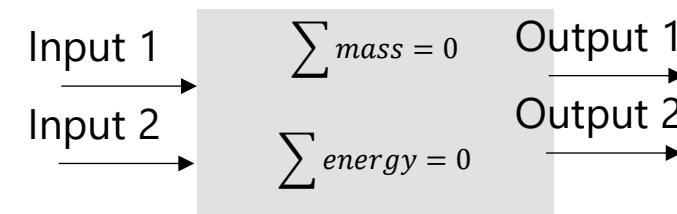


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- Hints (ISO 14044):
 - Extend **system boundaries**
 - Inputs/outputs **allocation based on Physical relationships**

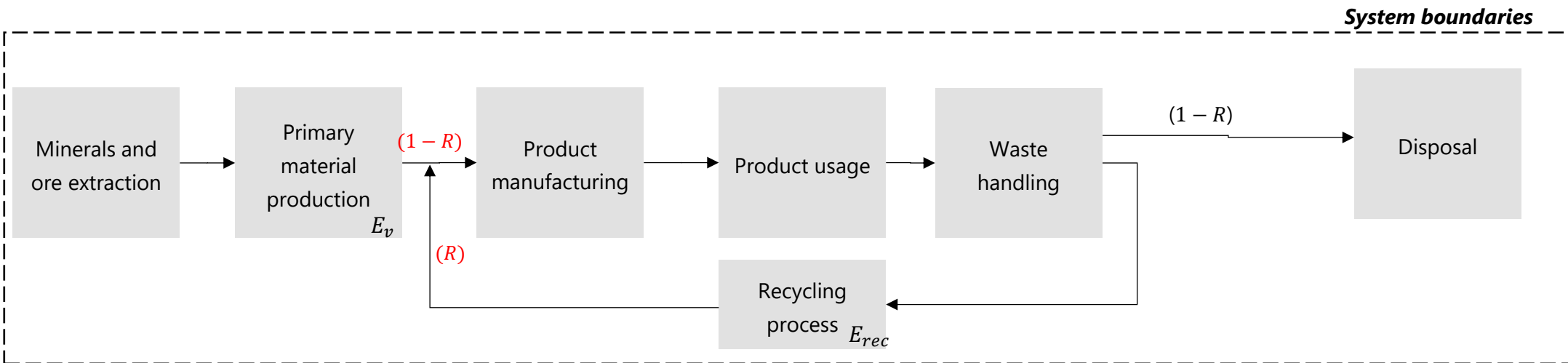


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1st law principles (energy/mass conservation)



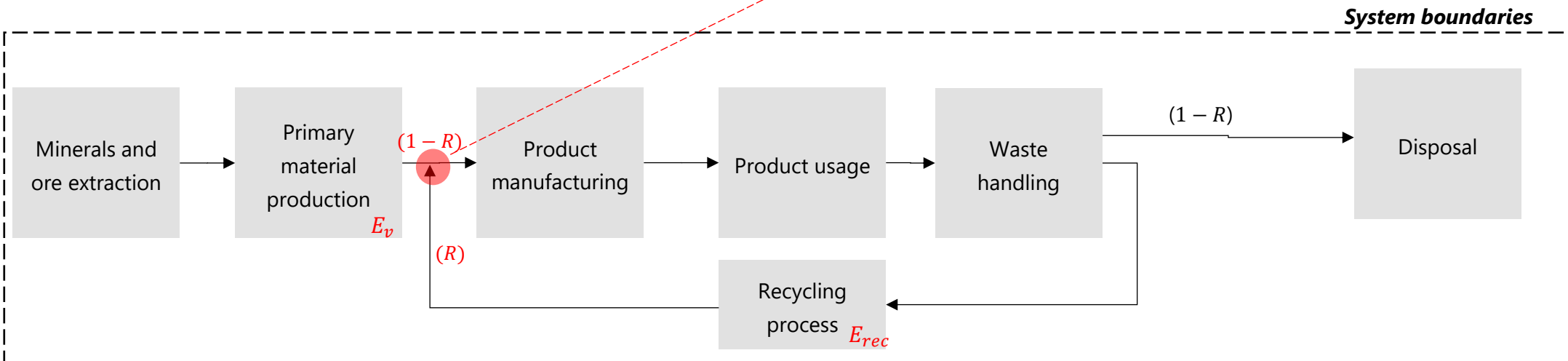
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- ⇒ **System boundaries are clearly defined, and the system is closed**
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- Only one recycling rate R need to be defined: $0 < R < 1$
- Mass/energy/impact balance equation at '**point of substitution**' ●

$$E_{mat} = (1 - R)E_v + RE_{rec}$$

$$E = \begin{bmatrix} GWP (CO_2e) \\ MRD (Sbe) \\ \dots + other\ impact\ indicators \\ electricity (energy) \\ materials (mass) \\ chemicals (mass) \\ \dots + other\ input.output\ mat \end{bmatrix}$$



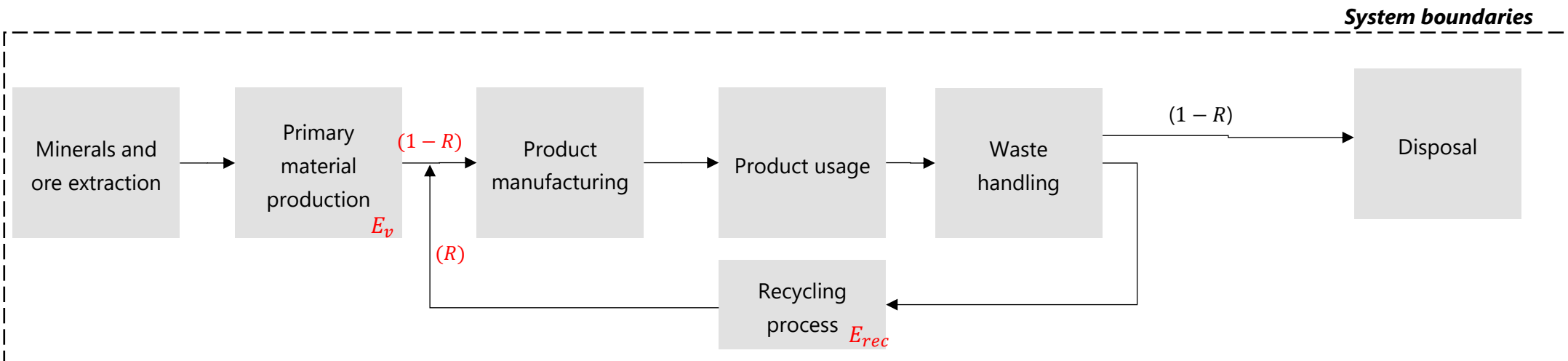
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$$m_{Cu}(kg) = (1 - R)m_{Cu,v} + R \cdot m_{Cu,rec}$$

$$GWP(CO2e) = (1 - R)GWP_v + R \cdot GWP_{rec}$$

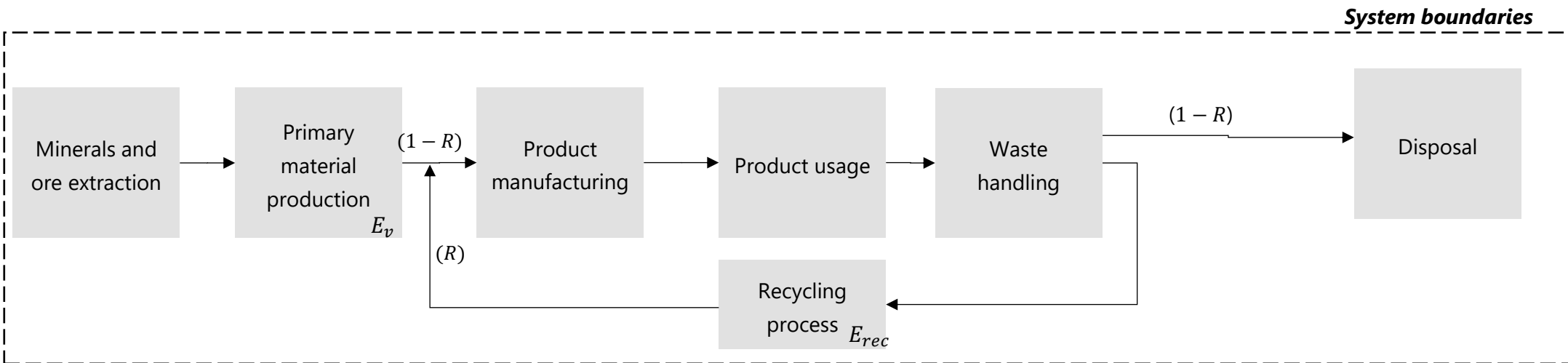
- Only one recycling rate R need to be defined: $0 < R < 1$
- Mass/energy/impact balance equation at point of substitution
- Example: Global Warming Potential of Copper Ecoinvent 3.9.1 cut-off (Cathode; 99,99%)
 - Primary Cu (Electrorefining of copper, GLO): $GWP_v = 8.24 \text{ kgCO2e/kgCu}$
 - Recycled: (Treatment of copper scrap by electrolytic refining, RoW): $GWP_{rec} = 2.41 \text{ kgCO2e/kgCu}$

⇒ **Often beneficial to the designer to increase recyclability / recycled material mass share**



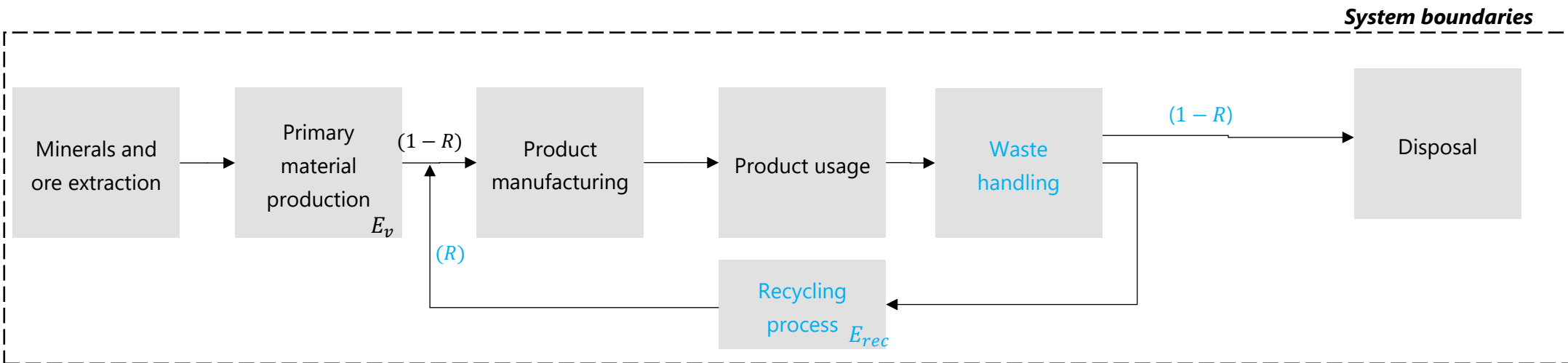
- At which step to allocate the recycling impacts?
 - End of Life
 - Material production step

$$E_{mat} = \underbrace{(1 - R)E_v}_{\text{Materials/energy and Impact share of primary metal}} + \underbrace{RE_{rec}}_{\text{Materials/energy and Impact share of secondary metal}}$$



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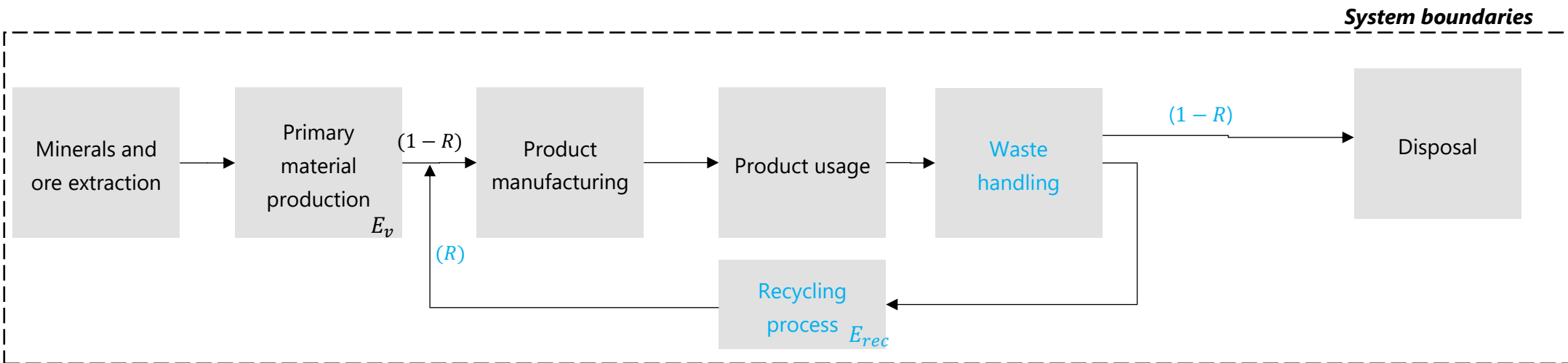
⇒ Benefit will be given to the EoL

⇒ Actual impact reduction will occur **in the future (15...25 year?)**

- Material production step

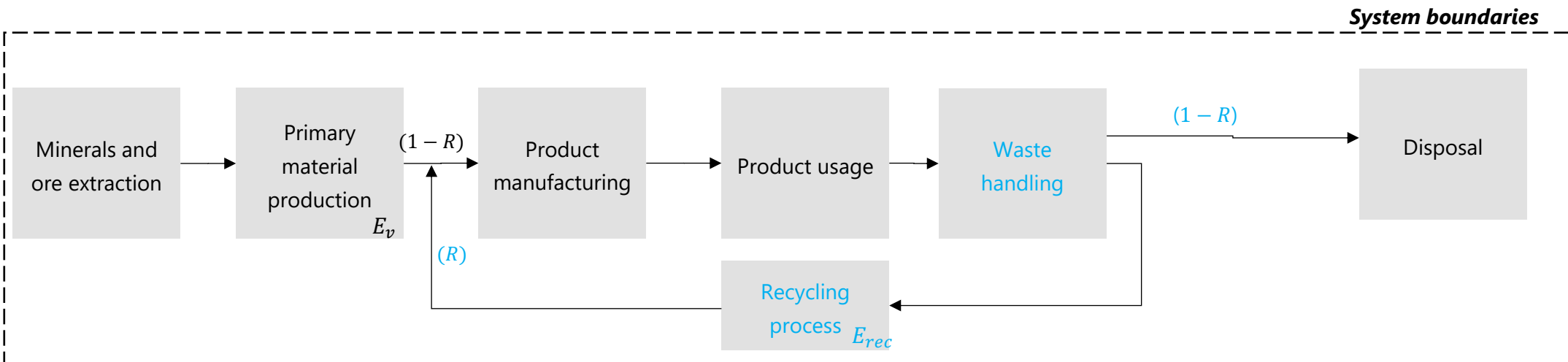
Question: effect on design?

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- At which step to allocate the recycling impacts?
 - End of Life
 - ⇒ Benefit will be given to the EoL
 - ⇒ Actual impact reduction will occur **in the future (15...25 year?)**
 - ⇒ **Product designer will make easily recyclable product**
 - Material production step

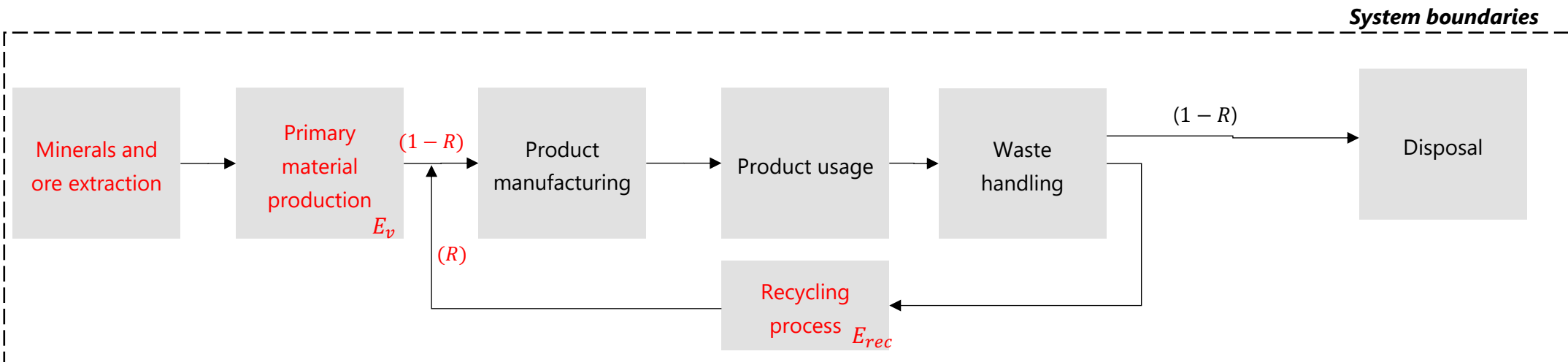
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- At which step to allocate the recycling impacts?
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 - Material production step:
 - ⇒ Benefit will be given to the material manufacturing
 - ⇒ Impact reduction will occur **in a short timeframe**

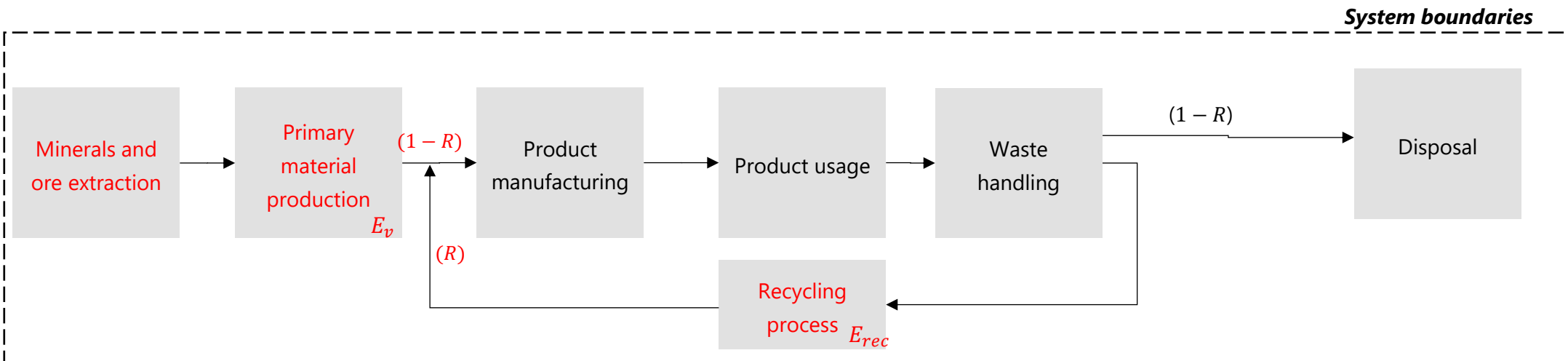
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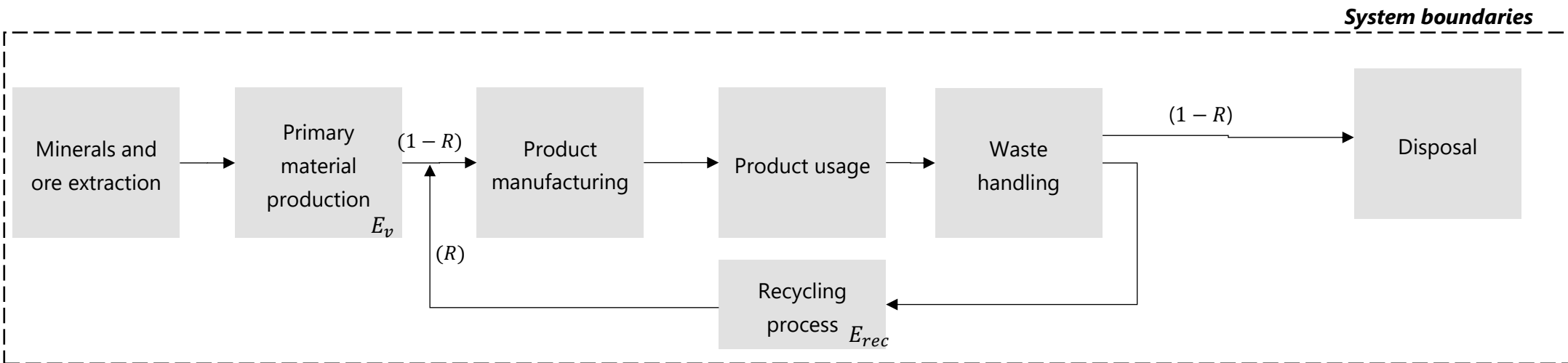
- At which step to allocate the recycling impacts?
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 - Material production step:
 - ⇒ Benefit will be given to the material manufacturing
 - ⇒ Impact reduction will occur **in a short timeframe**
 - ⇒ Designer with **include materials with high recycled share**

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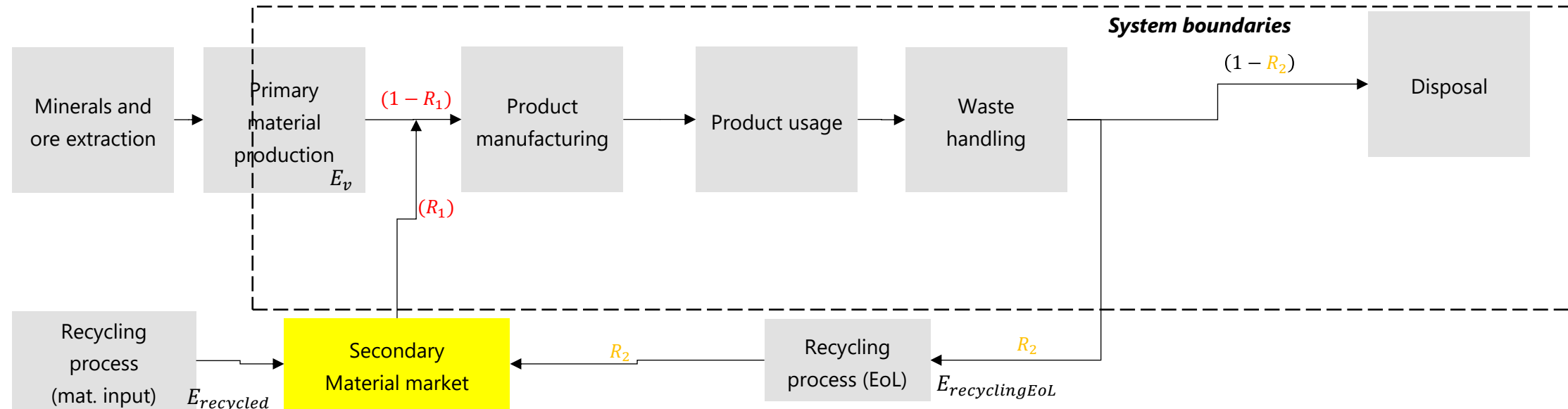


- At which step to allocate the recycling impacts?
 - End of Life
 - Material production step
- **Important:**
 - **do not count recycling twice**
 - **check databases processes assumptions**

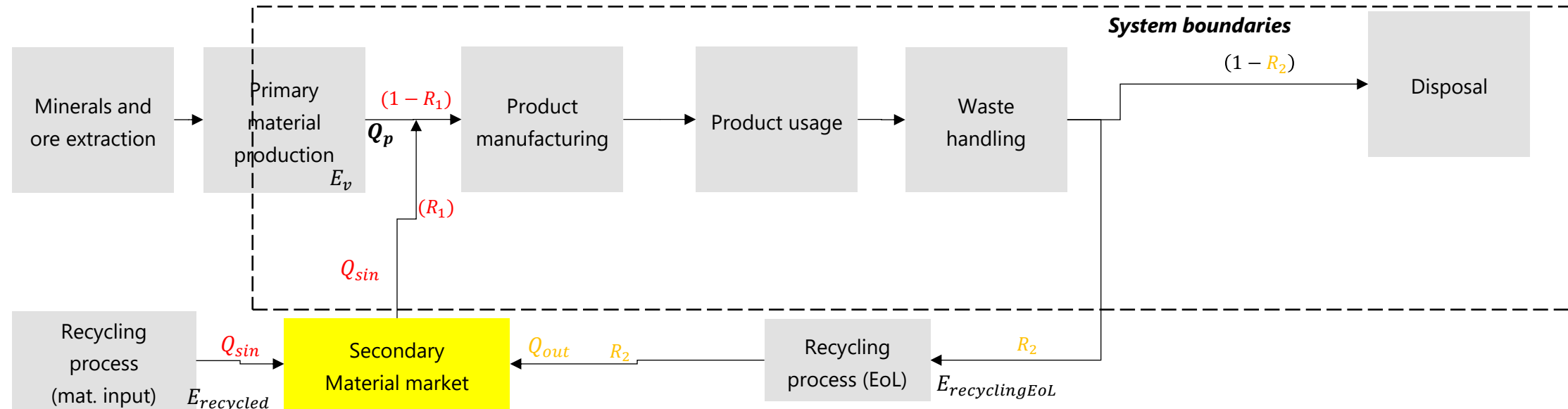
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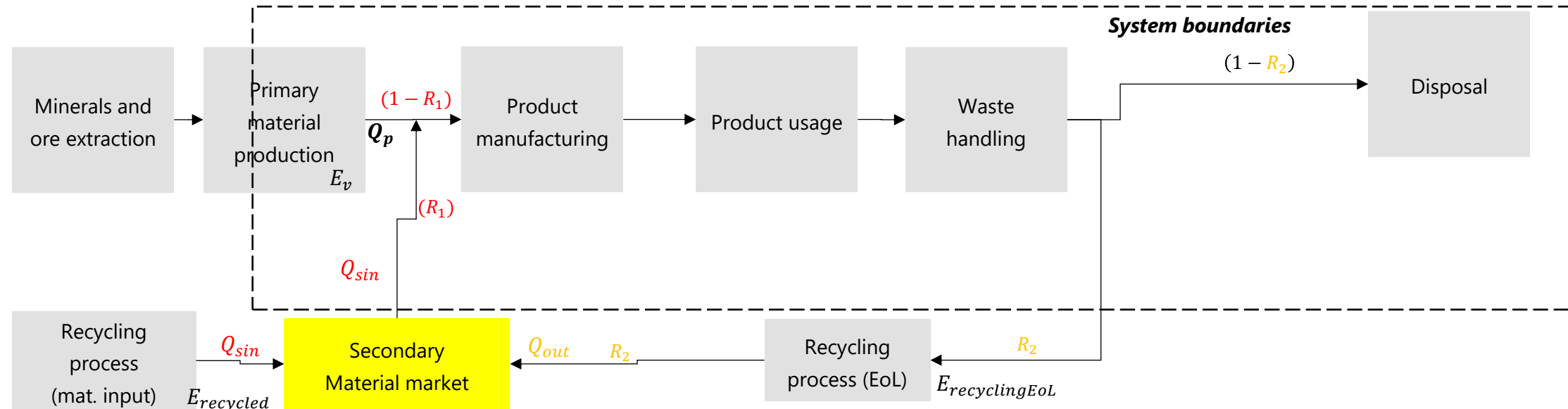
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 - Complex material streams, difficult to track materials => modelled by **secondary material market**, outside system boundaries
 - Quality differences: Q_p (primary); Q_{sin} (input recycled stream) ; Q_{out} (output material stream EoL)
 - Allocations problems are back ! How to solve this?**



CFF :Circular Footprint Formula (JRC/EU commission) used in Product Environmental Footprint method (PEF; EN15804)

- Option 1: **burden/credit given to the user of material**

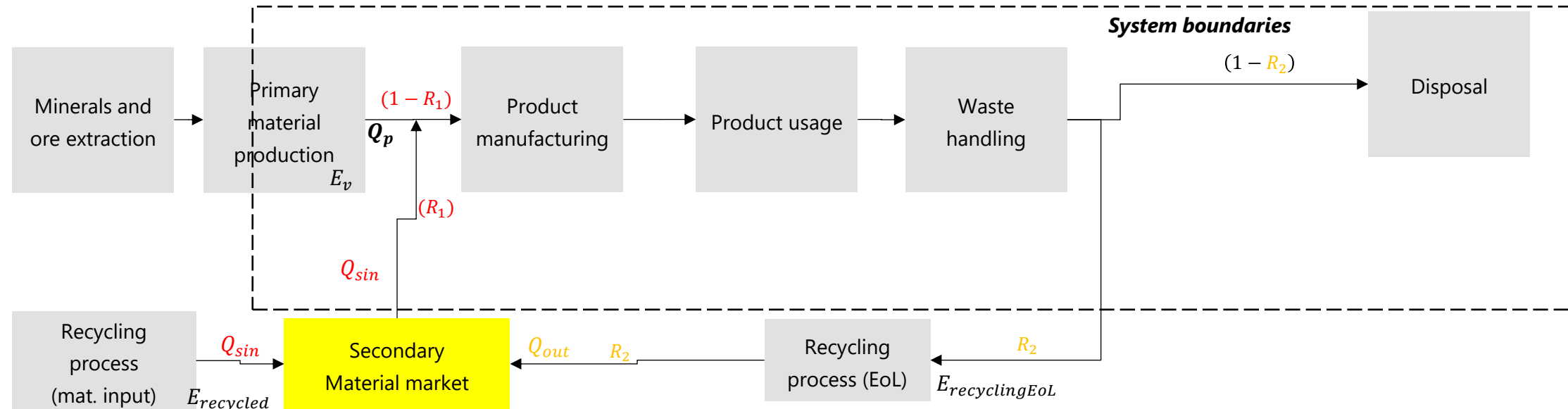
- For the manufacturing phase => to the product
- For the EoL phase => to the recycler

⇒ Purchasing department will choose materials suppliers with high recycled share

⇒ Impact reduction will occur in a short time (during product manufacturing)

- Also called '**cut-off**' approach

$$E_{mat} = (1 - R_1)E_v + R_1E_{recycled}$$



CFF :Circular Footprint Formula (JRC/EU commission) used in Product Environmental Footprint method (PEF; EN15804)

- Option 2: **burden/credit given to the producer of material**

- For the manufacturing phase => to the recycler

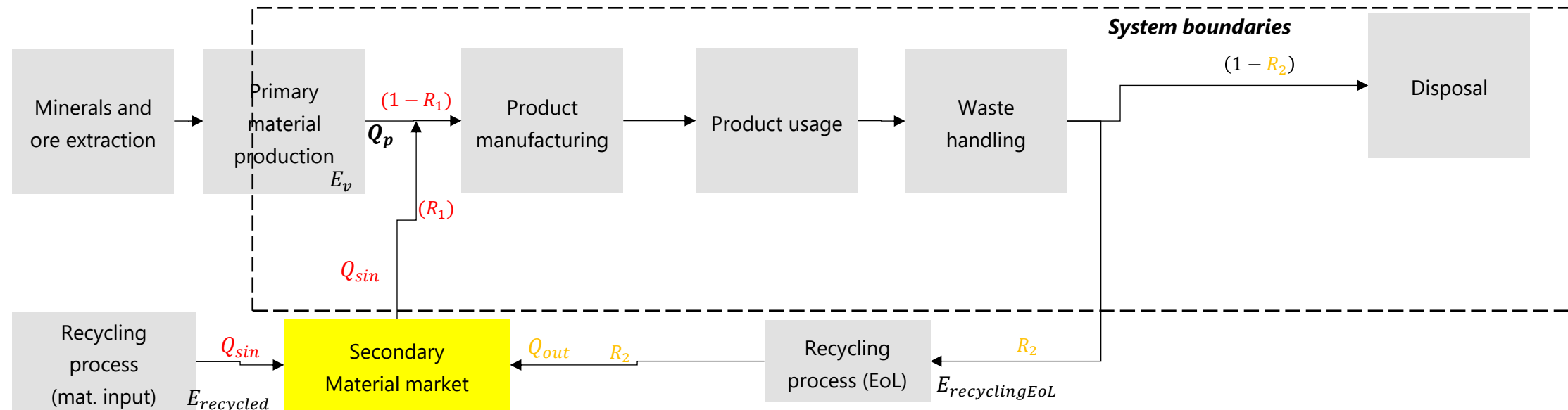
- For the EoL phase => to the product

⇒ product designer will make easily recyclable product

⇒ Impact reduction will occur in the future (15...25 year?)

$$E_{mat} = (1 - R_1)E_v + R_2 \left(E_{recyclingEoL} \right)$$

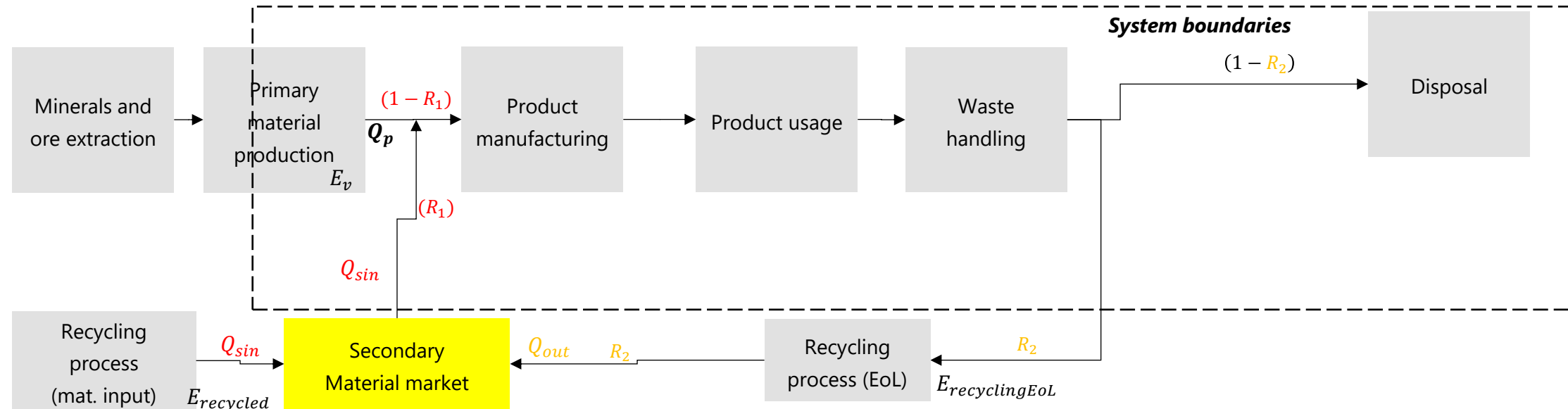
Also called '**avoided burden**' or '**substitutional**' approach



CFF :Circular Footprint Formula (JRC/EU commission) used in Product Environmental Footprint method (PEF; EN15804)

- Option 2: **burden/credit given to the producer of material**
 - For the manufacturing phase => to the recycler
 - For the EoL phase => to the product
 - For loss of primary material entering the secondary material market => to the product (modulo a quality derating factor)

$$E_{mat} = (1 - R_1)E_v + \underbrace{R_1 E_v \frac{Q_{sin}}{Q_P}}_{R_1 E_v \frac{Q_{sin}}{Q_P} - R_2 E_v \frac{Q_{sout}}{Q_P} : \text{quality and material losses of primary material}} + R_2 \left(E_{recyclingEoL} - \underbrace{E_v \frac{Q_{sout}}{Q_P}} \right)$$



CFF :Circular Footprint Formula (JRC/EU commission) used in Product Environmental Footprint method (PEF; EN15804)

- **Mixed approach: allocation factor A ($0 < A < 1$):**
 - **Low offer and high demand** of recyclable materials => A will be low (0.2) to **push designer to design recyclable products**
 - **High offer and low demand** of recycled materials => A will be high (0.8) to **push designer to source recycled materials**

 $E =$

$$(1 - R_1)E_v + R_1 \cdot E_{recycled}$$

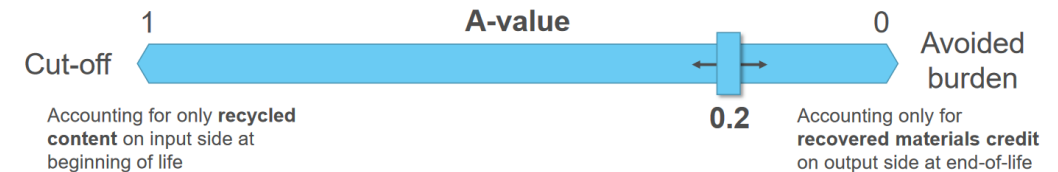
material production burdens

$$-(1 - A)R_1 \left(E_{recycled} - E_v \frac{Q_{Sin}}{Q_P} \right)$$

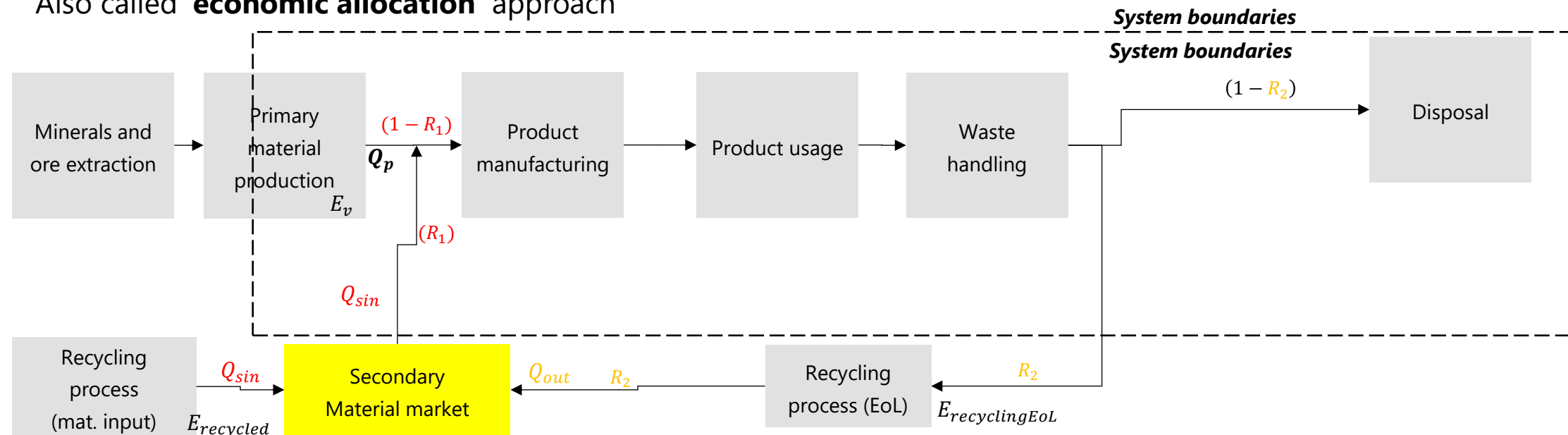
burden and benefits related to secondary material input

$$+(1 - A)R_2 \left(E_{recyclingEoL} - E_v \frac{Q_{Sout}}{Q_P} \right)$$

burden and benefits related to secondary material output



Also called **'economic allocation'** approach



- **Allocation factor A** provided by EU commission:

- Automotive, PV panels, metals: **A=0.2**

⇒ **designers are pushed to design easily recyclable products**

Examples of some R1, R2 and A factors provided by JRC for PE-related products and materials

https://eplca.jrc.ec.europa.eu/permalink/Annex_C_V2.1_May2020.xlsx

Category	Material	Application	Parameters			
			A	R1	Recycling rate (≈ R2).	
					EU* : Europe (post consumer)	BE
Metals	Steel	MATERIAL	0.2	0	0.85	
		appliances - sheet	0.2	0.18	0.90	
		photovoltaic panel - not specified	0.2	0.37	0	
		photovoltaic panel - mounting structure; electric installation	0.2	0.37	0.95	
		uninterruptible power supply (UPS)	0.2	0	0.93	
	Aluminum	MATERIAL	0.2	0	0.85	
		automotive	0.2	0	0.90	
		appliances - sheet	0.2	0	0.90	
		photovoltaic panel - not specified	0.2	0.32	0	
		photovoltaic panel - mounting structure; electric installation	0.2	0.32	0.95	
		sheet - uninterruptible power supply (UPS)	0.2	0	0.90	
	Aluminum alloys	AlMg3 - photovoltaic panel	0.2	0.77	0	
	Copper	MATERIAL	0.2	0	0	
		electronic applications	0.2	0.72	0.80	
		electrical applications (cables)	0.2	0.30	0.95	
		mechanical applications	0.2	0.79	0.80	
		photovoltaic panel - PV modules or not specified	0.2	0.44	0	
		photovoltaic panel - mounting structure; electric installation	0.2	0.44	0.95	
		tube/sheet in uninterruptible power supply (UPS)	0.2	0	0.93	
	Copper alloys	building - water supply pipes	0.2	0.80	0.95	
		CuZn38 cast - uninterruptible power supply (UPS)	0.2	0	0.93	
	Copper telluride	photovoltaic panel	0.2	0	0	
	Lead	MATERIAL	0.2	0	0	
		lead-acid batteries	0.2	0.80	0.99	

- CFF formula principles:
 - Give a solution to allocation problems for EoL material treatment and sourcing ✓
 - Based on underlying mass / energy balance (1st thermodynamic law) ✓
 - Can potentially handle reuse, repair and refurbish (different point of substitution) ✓
 - **Quality factors not well-defined** X
 - **A-factor** <=> economic allocation (**not physical**) X
 - Linear –economy centric, based on 2 ‘manufacture-use-dispose’, and ‘material/scrap market’ spaces X

Reality and the physical underlying principles of materials extraction and recycling?

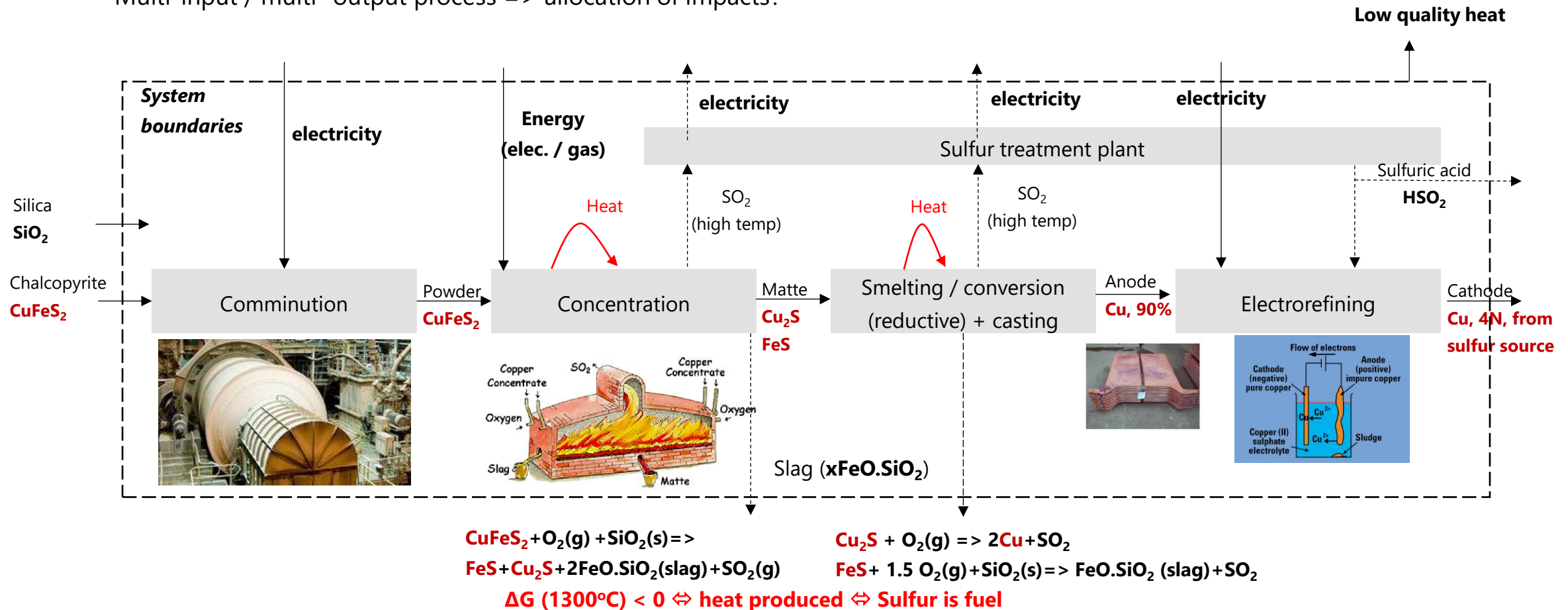
3

Reality of recycling

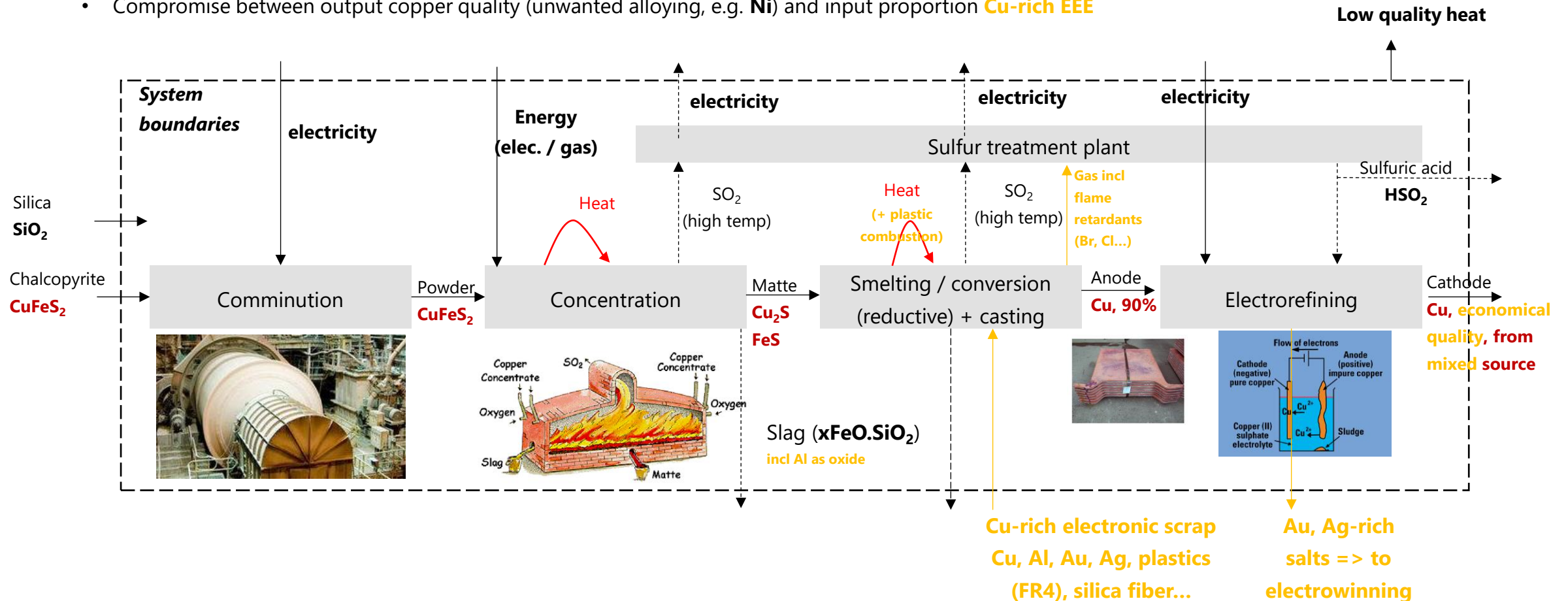
**Towards a stronger thermodynamic
basis of LCA?**

• **Primary copper** extraction:

- Thermodynamically favorable reduction of sulfur copper source (exothermic) => Process heavily relying on sulfur fueling
- Internal recycling / reuse loops already implemented (sulfuric acid for electrorefining)
- Multi-input / multi- output process => allocation of impacts?



- **Secondary copper** heavily relies on existing (**primary copper**) infrastructure:
 - Share of Copper-rich electronic scrap is added in the smelter => **Allocation of impacts** between copper sources ? **CuFeS₂**; **Cu-rich EEE**
 - Cu is good metal carrier to recover noble metals (Ag, Au) => **Allocation of impacts** for secondary output materials?
 - Less noble metals (Mg, Li, Al, Si ...) are oxidized and lost in the slag
 - Compromise between output copper quality (unwanted alloying, e.g. **Ni**) and input proportion **Cu-rich EEE**



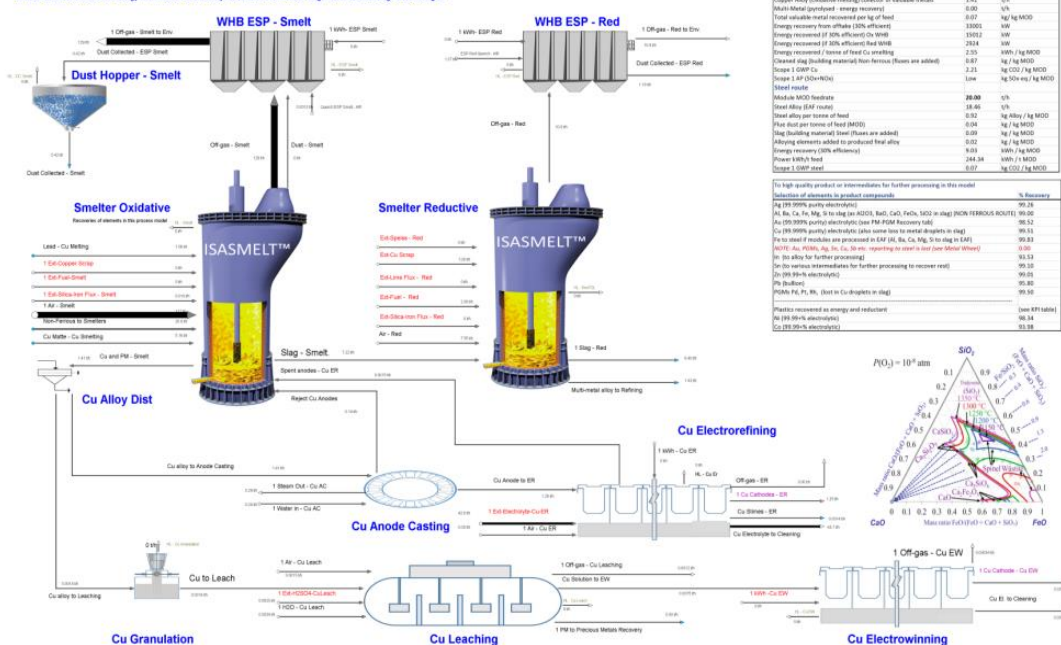
Physics-based process modelling => quantitative recycling rates

Smelting + Reduction: Cu

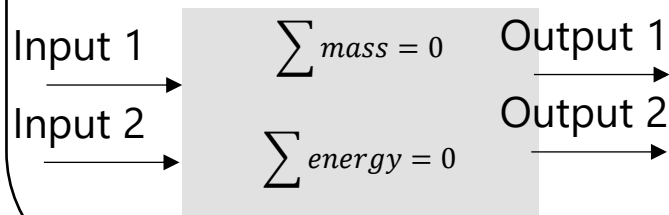
Models based on Gibbs free energy minimization and activity coefficients from FACT Sage and understanding of technologies

carrier metallurgy

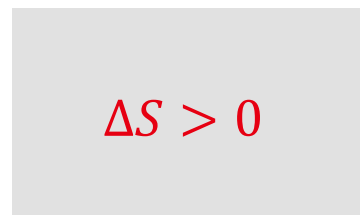
recycling rates



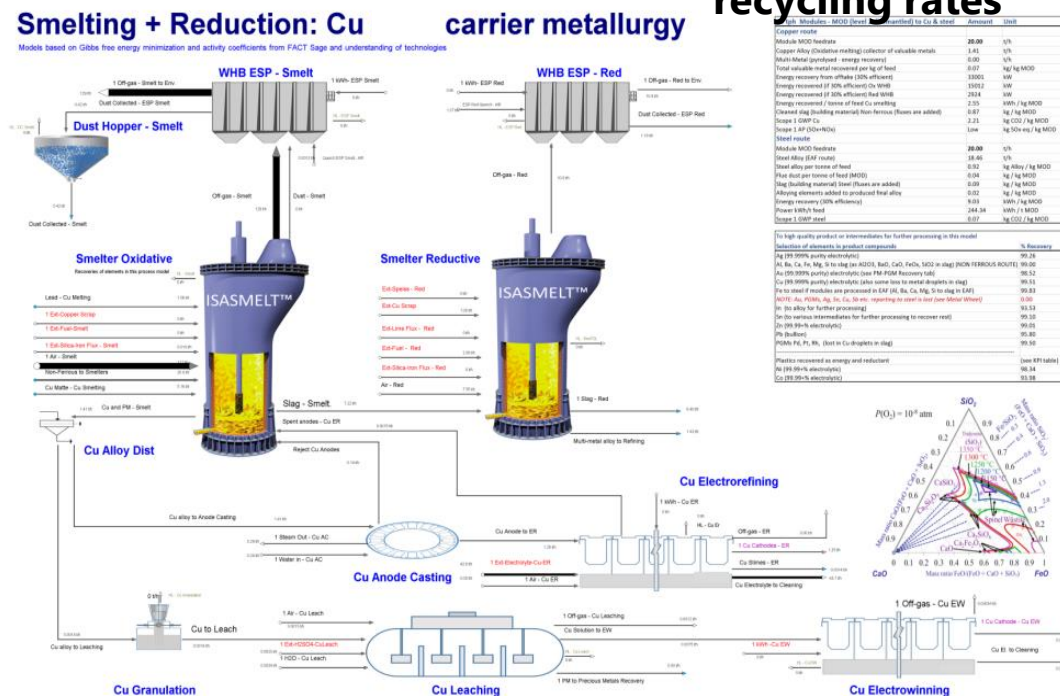
1st law principles



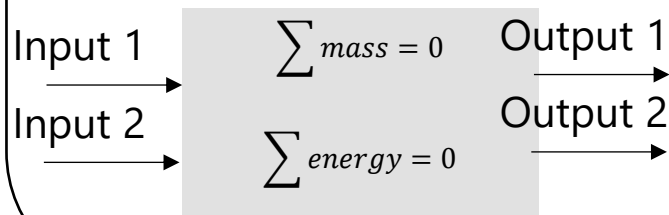
2nd law principles



Physics-based process modelling => quantitative recycling rates



1st law principles



2st law principles

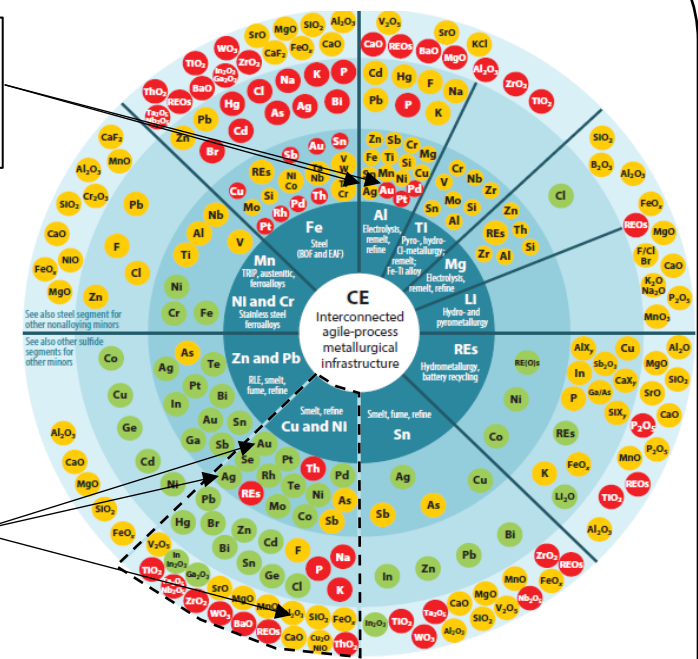
$$\Delta S > 0$$

Metal Wheel => qualitative

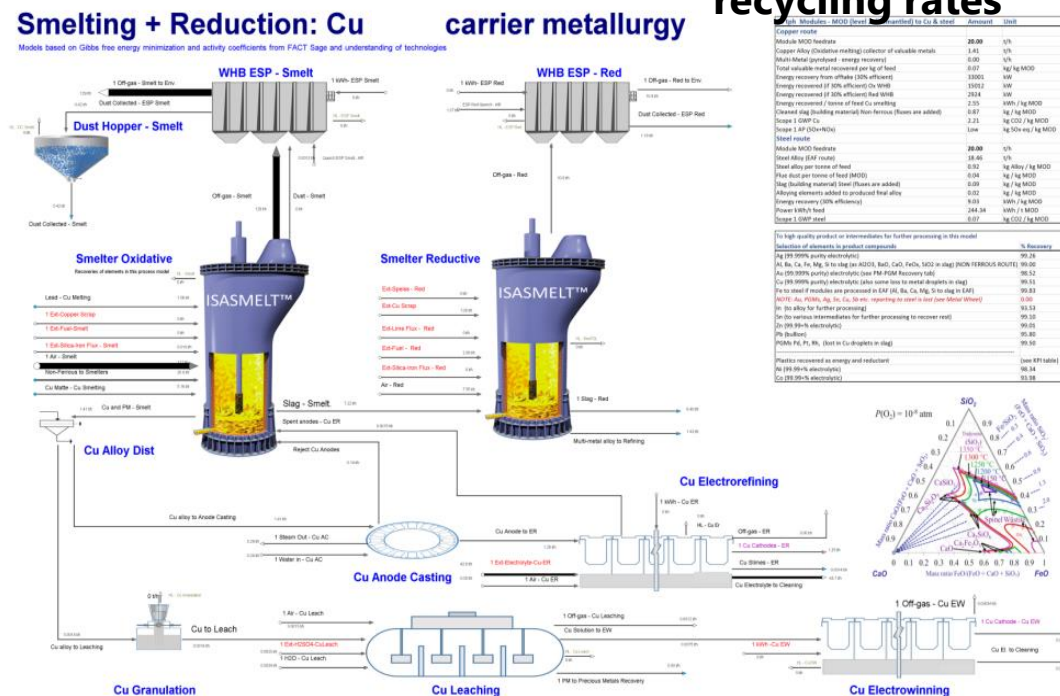
Au, Ag, Cu cannot be recovered or is recovered with quantity and quality loss in **Al recycling infrastructure**

- R** **Mainly recovered element**
Compatible with the base metal as an alloying element or can be recovered in subsequent processing.
- R/L** **Recovered in alloy/compound or lost if in the incorrect stream/scrap/module**
Governed by functionality, if not detrimental to base metal or product (e.g., if refractory metals in EoL product report to slag, and slag is also intermediate product for cement).
- L** **Mainly lost element: not always compatible with base metal or product**
Detrimental to properties and cannot be economically recovered: e.g., Au dissolved in steel or aluminum will be lost.

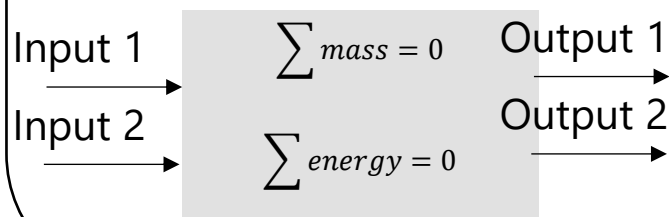
Au, Ag can be recovered in **Cu infrastructure** with good efficiency and quality, **Al** ends up as oxide in slag can cannot be recovered as a metal



Physics-based process modelling => quantitative recycling rates



1st law principles



2st law principles

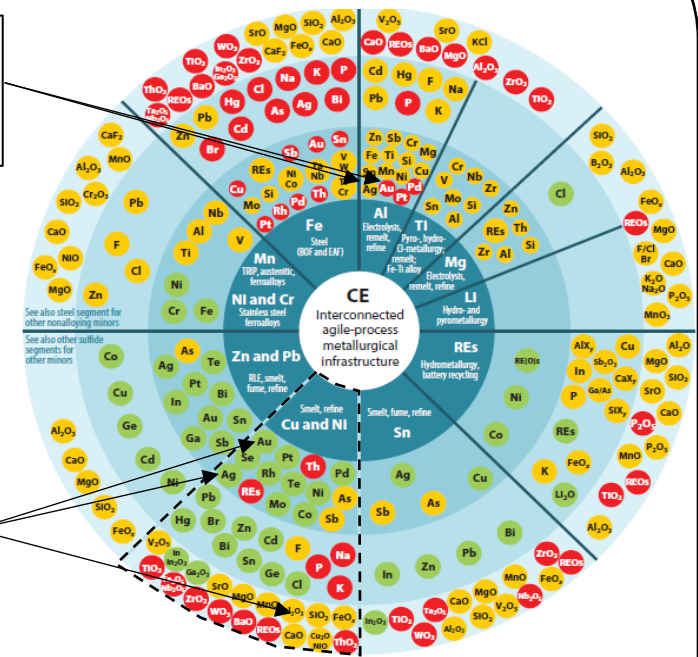
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Metal Wheel => qualitative

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Au, Ag can be recovered in **Cu infrastructure** with good efficiency and quality, **Al** ends up as oxide in slag can cannot be recovered as a metal



Use of the 'metal wheel':

- **Recycler:** Scrap composition **information** => recycling stream
- **For the (electronic) designer:**
 - Choice of **materials combinations** => recycling rate & quality, precious metals.
 - Choice of **system modularity** based on subsystem material combinations, to send modules to separate infrastructures

4

Conclusions

- **How to consider repair and diagnostic in LCA?**
 - Modularity without diagnostic can increase impacts
 - Design trade-offs require case-by-case analysis
 - PELCA tool
- **How to introduce circularity in LCA?**
 - Importance of allocation to avoid double (or no counting)
 - Impact of allocation on design strategy
 - Limitations of allocation methods based on default factors and recycling rates
- **Reality of recycling => towards a stronger thermodynamic basis of LCA?**
 - Process modelling based on 1st AND 2nd law
 - Irreversible losses (material, energy, quality) considered
 - 2nd law principles rarely considered in LCA

5

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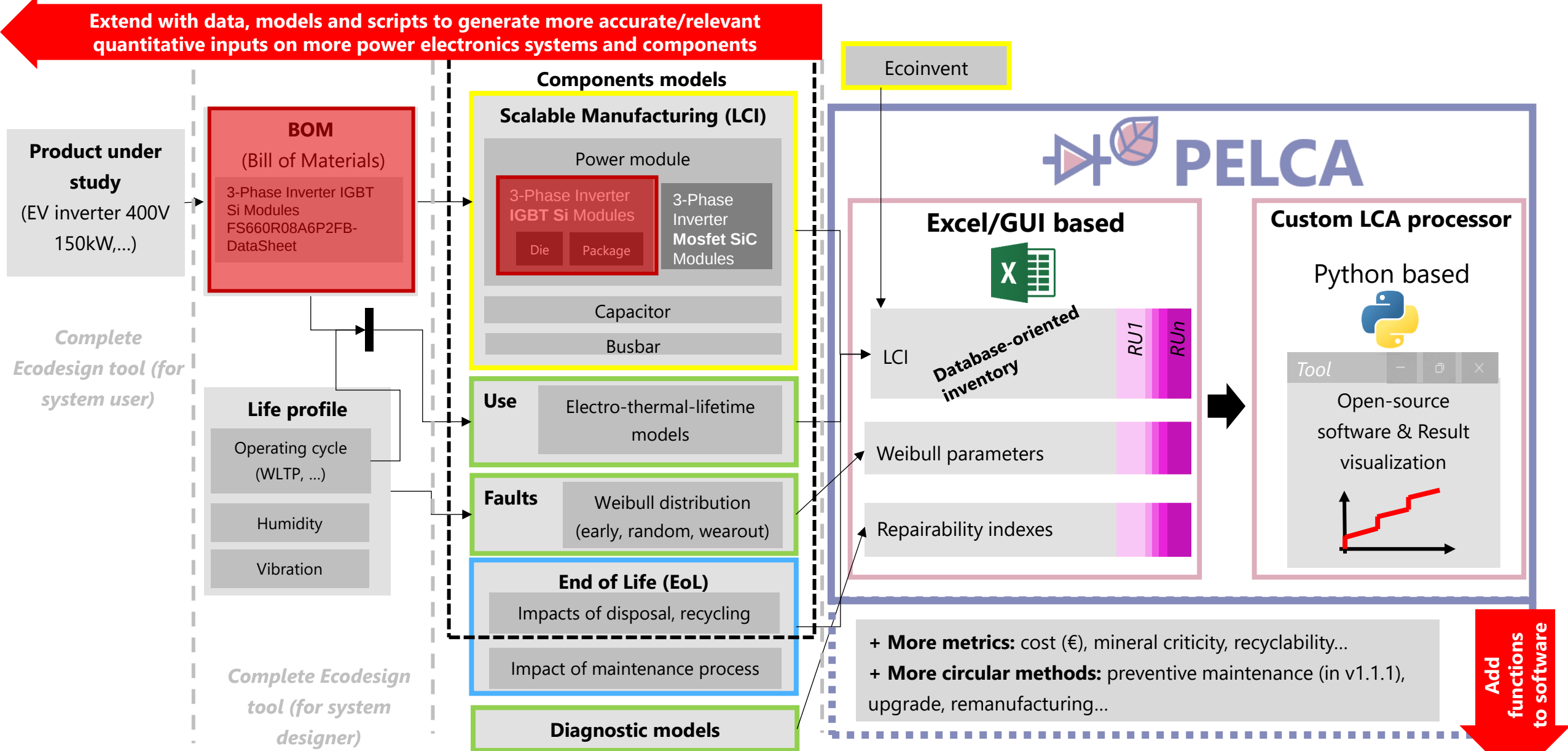
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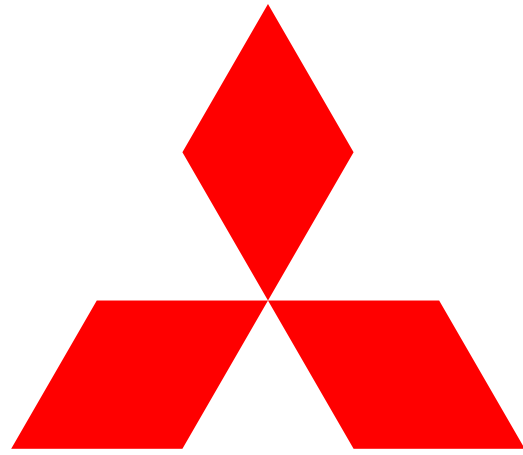
6

Additional slides

6 MERCE open-source approach – future?

Extend with data, models and scripts to generate more accurate/relevant quantitative inputs on more power electronics systems and components





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